Legal and Democratic Services



LICENSING AND PLANNING POLICY COMMITTEE

Tuesday 26 April 2022 at 7.30 pm

Place: Council Chamber, EpsomTown Hall

Link for public online access to this meeting: https://attendee.gotowebinar.com/register/4470097930432157968

Webinar ID: 114-946-043

Telephone (listen-only): 020 3713 5022, Telephone Access code:657-537-571

The members listed below are summoned to attend the Licensing and Planning Policy Committee meeting, on the day and at the time and place stated, to consider the business set out in this agenda.

Councillor David Reeve (Chair)
Councillor Steven McCormick (Vice-Chair)
Councillor Steve Bridger
Councillor Monica Coleman
Councillor Neil Dallen

Councillor Chris Frost Councillor Rob Geleit Councillor Julie Morris Councillor Phil Neale Councillor Clive Woodbridge

Yours sincerely

Chief Executive

For further information, please contact Democratic Services, email: democraticservices@epsom-ewell.gov.uk or tel: 01372 732000

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- Do not re-enter the building until told that it is safe to do so.

Public information

Please note that this meeting will be held at the Town Hall, Epsom and will be available to observe live on the internet

This meeting will be open to the press and public to attend as an observer using free GoToWebinar software, or by telephone.

A link to the online address for this meeting is provided on the first page of this agenda and on the Council's website. A telephone connection number is also provided on the front page of this agenda as a way to observe the meeting, and will relay the full audio from the meeting as an alternative to online connection. A limited number of seats will also be available in the public gallery at the Town Hall. For further information please contact Democratic Services, email: democraticservices@epsom-ewell.gov.uk, telephone: 01372 732000.

Information about the terms of reference and membership of this Committee are available on the Council's website. The website also provides copies of agendas, reports and minutes.

Agendas, reports and minutes for the Committee are also available on the free Modern.Gov app for iPad, Android and Windows devices. For further information on how to access information regarding this Committee, please email us at Democraticservices@epsom-ewell.gov.uk.

Exclusion of the Press and the Public

There are no matters scheduled to be discussed at this meeting that would appear to disclose confidential or exempt information under the provisions Schedule 12A of the Local Government (Access to Information) Act 1985. Should any such matters arise during the course of discussion of the below items or should the Chairman agree to discuss any other such matters on the grounds of urgency, the Committee will wish to resolve to exclude the press and public by virtue of the private nature of the business to be transacted.

Questions from the Public

Questions from the public are permitted at meetings of the Committee. Any person wishing to ask a question at a meeting of the Committee must register to do so, as set out below.

Up to 30 minutes will be set aside for written or oral questions from any member of the public who lives, works, attends an educational establishment or owns or leases land in the Borough on matters within the Terms of Reference of the Licensing and Planning Policy Committee which may not include matters listed on a Committee Agenda.

All questions whether written or oral must consist of one question only, they cannot consist of multi parts or of a statement.

The question or topic may not relate to a specific planning application or decision under the Planning Acts, a specific application for a licence or permit of any kind, the personal affairs of an individual, or a matter which is exempt from disclosure or confidential under the Local Government Act 1972. Questions which in the view of the Chairman are vexatious or frivolous will not be accepted.

To register to ask a question at a meeting of the Committee, please contact Democratic Services, email: democraticservices@epsom-ewell.gov.uk, telephone: 01372 732000.

Written questions must be received by Democratic Services by noon on the tenth working day before the day of the meeting. For this meeting this is **Noon**, **8 April 2022**

Registration for oral questions is open until noon on the second working day before the day of the meeting. For this meeting this is **Noon**, **22 April 2022**

AGFNDA

1. QUESTION TIME

To take any questions from members of the Public.

2. DECLARATIONS OF INTEREST

Members are asked to declare the existence and nature of any Disclosable Pecuniary Interests in respect of any item of business to be considered at the meeting.

3. MINUTES OF PREVIOUS MEETING (Pages 5 - 8)

The Committee is asked to confirm as a true record the Minutes of the Meeting of the Committee held on 3 March 2022 (attached) and to authorise the Chairman to sign them.

4. HACKNEY CARRIAGE AND PRIVATE HIRE LICENSING POLICY (Pages 9 - 120)

The Committee are asked to agree in principle the Draft Hackney Carriage and Private Hire Licensing Policy and to authorise public consultation.

5. LOCAL DEVELOPMENT SCHEME (Pages 121 - 134)

The Local Development Scheme is a project plan that sets out the timetable to produce new or revised Development Plan Documents which will form the Council's Local Development Plan. This LDS, which supersedes all previous versions, sets out a planning work programme for the Council over a three-year period to 2025.



Minutes of the Meeting of the LICENSING AND PLANNING POLICY COMMITTEE held on 3 March 2022

PRESENT -

Councillor David Reeve (Chair); Councillor Steven McCormick (Vice-Chair); Councillors Steve Bridger, Neil Dallen, Chris Frost, Liz Frost (as nominated substitute for Councillor Phil Neale), Rob Geleit, Julie Morris and Clive Woodbridge

In Attendance:

Absent: Councillor Monica Coleman and Councillor Phil Neale

Officers present: Victoria Potts (Head of Place Development) and Tim Richardson (Democratic Services Manager)

31 QUESTION TIME

No questions were received from members of the public.

32 DECLARATIONS OF INTEREST

No declarations of interest were made in items on the Agenda.

33 MINUTES OF PREVIOUS MEETING

The Minutes of the previous meeting of the Licensing and Planning Policy Committee held on 2 December 2021 were agreed as a true record and signed by the Chair.

34 AUTHORITY MONITORING REPORT 2020-2021

The Committee received a report presenting the Authority Monitoring Report 2020-2021. The Authority Monitoring Report (AMR) has two purposes; to monitor progress towards new Local Development Documents and to monitor the effectiveness of key policies set out in Local Development Documents.

The following matters were considered:

a) Correction to Table 4, page 16 of the agenda pack. The Committee noted the following corrections to the data contained in Table 4 of the AMR, on page 16 of the agenda document pack.

Total CS Target was corrected to read: 2,534

Total Overall Deficit/surplus was corrected to read: 27.9%

- b) Affordable housing. The Committee noted the percentage of affordable housing completed as detailed in table 5 of the AMR, on page 17 of the agenda document pack. The Committee noted that government legislative changes had reduced the Council's ability to demand affordable housing provision in developments of 10 dwellings or less and had affected the issue of viability. The Head of Place Development informed the Committee that through the Local Plan and by reviewing the impact of Council policies on viability, the Council could seek to address this against other priorities.
- c) Affordable housing contributions on schemes under 10 dwellings. Following a question from a Member of the Committee with reference to Elmbridge Borough Council, the Head of Place Development informed the Committee that some authorities had existing adopted local plan documents which sought affordable housing contributions on schemes under 10 dwellings, but were not able to implement such a policy following legislative change. The Head of Place Development would look into whether Elmbridge Borough Council had been able to implement its policy following the meeting.
- d) Use of Section 106 affordable housing funds. Following a question from a Member, the Committee was informed that the Council had an Officer Working Group which was looking at how S106 funds could be used to provide affordable housing and also address issues of homelessness.

Following consideration, the Committee unanimously resolved to:

(1) Note the contents of the Authority Monitoring Report (Appendix 1 to the report).

35 SURREY PLACE AMBITION CONSULTATION

The Committee received a report presenting the Council's response to the Surrey Place Ambition consultation. Surrey County Council was seeking responses to its draft Surrey 2050 Place Ambition Strategy, and Implementation Framework by 4 March 2022.

The consultation response supported the high-level principles but raised concern over the identification of Epsom as part of the Strategic Opportunity Area 6, because of the consequences of this and how it relates to the Borough Council's local strategy for growth and meeting local housing requirements.

The following matters were considered:

- a) **Proposed amendment to list of Primary Centres.** Members of the Committee considered that the list of Primary centres detailed in paragraph 4.9 of the Surrey Place Ambition should be amended from "Epsom" to "Epsom, Ewell and Stoneleigh".
- b) **Amendments to draft consultation response.** The Committee considered the following amendments to the draft consultation response:
 - a. That the word "Epsom" in the final paragraph of the draft consultation response should be amended to read "Epsom and Ewell.
 - b. That greater emphasis be given to 20-minute neighbourhoods and retention of key strategic employment areas within the consultation response.
 - c. That paragraph 3 of the consultation response should make reference to Southern Railways, in addition to the existing reference to Southwest Rail.
 - d. That the consultation response should make reference to improving air quality as a Priority Area for the Strategic Opportunity Area.

Following consideration, the Committee unanimously resolved to:

- (1) Note the contents of the Surrey Place Ambition and agree the consultation response at Appendix 1 to the report.
- (2) Approve delegated authority to the Head of Place Development and Chair of Licensing Planning Policy Committee to finalise and submit the Council's response to Surrey County Council.

The meeting began at 7.30 pm and ended at 8.10 pm

COUNCILLOR DAVID REEVE (CHAIR)

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HACKNEY CARRIAGE AND PRIVATE HIRE LICENSING POLICY

Head of Service: Rod Brown, Head of Housing & Community

Wards affected: (All Wards);

Urgent Decision?(yes/no) No

If yes, reason urgent decision

required:

Appendices (attached): Appendix 1: Draft Hackney Carriage and

Private Hire Licensing Policy

Appendix 2: Summary of changes

Summary

The Committee are asked to agree in principle the Draft Hackney Carriage and Private Hire Licensing Policy and to authorise public consultation.

Recommendation (s)

The Committee is asked to:

- (1) Agree in principle the draft Hackney Carriage and Private Hire Licensing Policy
- (2) Agree the policy be subject to public consultation with the results of the consultation and any policy modifications being brought back to the Committee prior to recommendation to full Council for adoption.

1 Reason for Recommendation

1.1 To adopt a current Hackney Carriage and Private Hire Licencing Policy in accordance with statutory standards issued by the Department of Transport.

2 Background

2.1 The Council has a Hackney Carriage and Private Hire Licencing Policy that was last reviewed in 2018.

- 2.2 The Department of Transport has issued statutory taxi and private hire vehicle (PHV) standards to licensing authorities, outlining how they should carry out their licensing function. The focus of these standards is to further enhance the protection to children and vulnerable adults arising from the recommendations contained within several high-profile investigations of exploitation elsewhere in the country which implicated parts of the trade. The Government have made it clear that they expect all licensing authorities to implement these changes.
- 2.3 The draft policy is set out in Appendix 1 and has been updated to incorporate the new statutory standards and reflects best practice. Whilst all sections of the policy have been updated to provide greater clarity and detail, a summary of key changes is attached at Appendix 2.
- 2.4 The policy changes are relatively noncontroversial in nature. They have the effect of building on existing provisions and bringing greater precision to existing provisions and aligns the Council with most other licensing authorities who have reviewed their polices following the publication of the statutory standards.
- 2.5 There is a requirement that the Council consults on the draft policy and it is proposed, should the committee agree the recommendations, that a period of consultation with the public, businesses, the trade and the users of taxi and PHV in the borough will be started, following which a post-consultation policy, in consideration of consultation feedback, will be brought back to this committee for approval. prior to formal adoption by Full Council. Feedback from the consultation will be used to inform any changes which are warranted.
- 2.6 The Licensing Team licence in the region of 550 Hackney Carriage and Private Hire Vehicles per year and 675 drivers every three years in accordance with the existing policy. It is a particularly busy area of the service which is disproportionally large compared with even the larger authorities in Surrey.
- 2.7 The need to bring the existing policy up to date with respect to the statutory standards will clearly help reduce the risk of harm, and these changes to the draft policy in Appendix 1 should be implemented without unnecessary delay. Once the revised policy is adopted it is intended that options for more general policy changes will be explored as part of a further update to the policy. Such future policy options may involve measures to support an increase of the numbers of Hackney Carriages (which have been particularly affected by a combination of Covid-19 and the presence of Uber and other equivalent operators) and increase the incentives for using less polluting vehicles.
- 2.8 The proposed consultation document will be designed both to fulfil the requirements to consult on the changes before the committee and to provide a basis for future policy work as described above.

3 Risk Assessment

Legal or other duties

- 3.1 Impact Assessment
 - 3.1.1 An equalities impact assessment will be prepared when the final, post consultation document appears before the committee.
- 3.2 Crime & Disorder
 - 3.2.1 The proposals are designed to enhance the controls on crime and disorder by adopting the national standards.
- 3.3 Safeguarding
 - 3.3.1 The proposals will enhance safeguarding by mandating regular criminal record checks and safeguarding training for all drivers.
- 3.4 Dependencies
 - 3.4.1 None identified
- 3.5 Other
 - 3.5.1 None identified

4 Financial Implications

- 4.1 None identified for the purposes of this report.
- 4.2 **Section 151 Officer's comments**: None arising from the contents of this report.

5 Legal Implications

5.1 Whilst there is no law to compel Councils to adopt the national standards, there is a strong expectation that they shall and there is considerable legal and reputational risk in the event of an incident which could have been addressed by the new standards.

- 5.2 The Statutory Taxis and Private Hire Vehicles Standards have been issued under the Policing and Crime Act 2017 and came into effect on 20 July 2020. The Act enables the Secretary of State for Transport to issue Statutory Guidance on exercising Taxi and Private Hire licensing functions to protect children and vulnerable adults from harm when using these services. Section 177(4) of the Act places a statutory duty upon any public authority which has licensing functions under taxi and private hire vehicle legislation to have regard to any such guidance. These functions include developing, implementing and reviewing their taxi and private hire vehicle licensing regimes. In accordance with the provisions within the Act, the Department for Transport published the final Statutory Taxi and Private Hire Vehicle Standards in July 2020. Standards have been issued in light of evidence that taxis and private hire vehicles are viewed as a high-risk environment, specifically in terms of risks to passengers.
- 5.3 The Licensing team have reviewed the Council's current policy against the Department for Transport's Standards and the result of this review is the draft set out in Appendix 1 to this report.
- 5.4 **Legal Officer's comments**: none other than those outlined above

6 Policies, Plans & Partnerships

- 6.1 **Council's Key Priorities**: The following Key Priorities are engaged: Safe and Well.
- 6.2 **Service Plans**: The matter is included within the current Service Delivery Plan.
- 6.3 Climate & Environmental Impact of recommendations: None identified
- 6.4 **Sustainability Policy & Community Safety Implications**: No sustainability implications. The proposals are expected to enhance community safety.
- 6.5 **Partnerships**: None

7 Background papers

7.1 The documents referred to in compiling this report are as follows:

Previous reports:

Licensing and Planning Policy Committee Minutes January 25 2018

Other papers:

Agenda Item 4

 Statutory taxi and private hire vehicle standards [www.gov.uk/government/publications/statutory-taxi-and-private-hire-vehicle-standards] accessed 7/3/22 This page is intentionally left blank



Hackney
Carriage and
Private Hire
Licensing
Policy

Version number 1 Date 20 February 2022

Tracking

Policy Title	Hackney Carriage and Private Hire Licensing Policy		
LT sign off	N/A		
Committee	Licensing & Planning Policy	Date approved	
Review due date		Review completed	
Service	Housing & Community		

Revision History

Revision Date	Revisor	Previous Version	Description of Revision

Document Approvals

Each revision requires the following approvals:

Sponsor	Name	Date
Sponsor Approval		
Chief Legal Officer		
Officer		

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1 Introduction and Background

1.1 Executive Summary

1.1.1 This document contains Epsom and Ewell Borough Council's Policy which includes procedures, practices, and standards relevant to the hackney carriage and private hire licensing regime within the borough of Epsom and Ewell.

1.2 Aims Of This Policy

- 1.2.1 The aim of this Policy document is to publish the stated intentions and requirements of Epsom & Ewell Borough Council as the Licensing Authority with respect to hackney carriage and private hire operations in Epsom & Ewell borough, championing the overriding principal of public safety as its primary concern.
- 1.2.2 As the Licensing Authority, the Council's primary aim is to:
 - Protect the public and ensure public safety remains at the centre of the licensing regime.
 - Ensure reasonable access to hackney carriage and private hire services.
 - That the individuals carrying out the roles of licensed drivers and operators are "fit and proper" to do so.
- 1.2.3 The Council's powers are used to ensure that hackney carriage and private hire vehicles that operate within the borough are safe and operate in compliance with relevant legislation.
- 1.2.4 Specific regard has been afforded to HM Government's Department for Transport's (DfT) recent publication 'Statutory Taxi and Private Hire Vehicle Standards' (July 2020), published under Section 177(1) of the Policing and Crime Act 2017.
- 1.2.5 This new statutory guidance specifically requires all Licensing Authorities which exercise taxi and private hire licensing functions to introduce new and/or strengthen existing policies to protect from harm children and vulnerable individuals over 18 years old.
- 1.2.6 HM Government expects all the DfT recommendations to be implemented unless there are compelling local reasons for not doing so. As far as possible, the DfT recommendations have been incorporated into this revised Council Policy.

- 1.2.7 The Council recognises its duty with regards to Safeguarding children and vulnerable adults, and procedures are in place to ensure licences are only issued to "fit and proper" drivers and operators.
- 1.2.8 The Policy has been formulated pursuant to, and in accordance with, relevant legislation including:
 - Town Police Clauses Act 1847;
 - Local Government (Miscellaneous Provisions) Act 1976;
 - Equality Act 2010;
 - Immigration Act 2016.
 - Police and Crime Act 2017;
- 1.2.9 The Equalities Act 2010 places a legal obligation on public authorities to have due regard to the need to eliminate unlawful discrimination and to promote equality of opportunity and good relations between persons based on race, gender, disability, age, sexuality (lesbian, gay, bisexual), transgender, pregnancy, religion, or belief.
- 1.2.10 The Council is committed to ensuring that it is delivering services in a non-discriminating way and that equality is at the heart of service provision throughout the authority.
- 1.2.11 The Council recognises that discrimination and exclusion can occur for many reasons including but not confined to race, language, age, gender, disability and poverty and is fully committed to overcoming such exclusion and discrimination.
- 1.2.12 In carrying out its duties the Council will have due regard for the need:
 - To eliminate unlawful discrimination
 - To promote equality of opportunity and good relations between people, including those in the groups protected by the Equality Act 2010.
- 1.2.13 This Policy will be subject to an equality impact assessment. In formulating this Policy, the Council have had regard to the need to provide for disabled people and to protect vulnerable groups. The mix of vehicle types, vehicle, driver and operator conditions relating to licensed vehicles, operators and drivers are intended to protect all passengers, and especially those who are most vulnerable.

1.3 Definitions and Terms

Throughout this document:

a) "the Council" or "the Authority" means Epsom & Ewell Borough Council both as an entity and as the Licensing Authority.

- b) "driver" or "licensed driver" means drivers of both hackney carriages and private hire vehicles, unless the context indicates otherwise.
- c) "vehicle" or "licensed vehicle" means both a hackney carriage and private hire vehicle, unless the context indicates otherwise.
- d) "hackney carriage" means a vehicle licensed under the Town Police Clauses Act 1847 to ply for hire throughout the district controlled by the Council.
- e) "private hire vehicle" or 'PHV' means a vehicle licensed under the Local Government (Miscellaneous Provisions) Act 1976 to carry passengers for hire or reward with the services of a driver.
- f) "private hire operator" means a person who in the course of business makes provision for the invitation or acceptance of bookings for private hire vehicles.
- g) the word "taxi" has no meaning in law, and whilst it is often commonly used generically to describe both hackney carriages and private hire vehicles, it more properly refers only to hackney carriages. Throughout this policy the word "taxi" is used to refer to hackney carriages only.
- h) any reference to "proprietor" is a reference to the proprietor of either a hackney carriage or a private hire vehicle unless the context indicates otherwise.
- i) any reference to the term "applicant" refers both to an unlicensed person who
 has applied to be granted a licence and a person who holds a current licence
 which was granted by the Council and who has applied for that licence to be
 renewed.
- j) "DfT" means the Department for Transport, including previous names under which that Department has been known.
- k) the term "DVLA driving licence" means a full original UK driving licence issued by the Driver and Vehicle Licensing Agency.
- I) "LPPC Committee" means the Licensing and Planning Policy Committee of the Council. This committee approves the hackney carriage and licensing policy for recommendation to the Council and keeps it under review. The Committee also ensures members of the Sub-Committee receive the necessary training to undertake the administration of applications before them.
- m) "Sub-Committee" means the Licensing (General) Sub-Committee. This is a panel comprising of elected Councillors who determine contentious applications for the grant or renewal of licences and the refusal, suspension, or revocation of these licences where serious offences or breaches of licence conditions have been committed.

1.4 Policy Status

- 1.4.1 In exercising its discretion in carrying out regulatory functions and decision making, the Council will have regard to this Policy.
- 1.4.2 Notwithstanding the existence of this Policy, each application or enforcement measure will be considered on its own merits. Where there are exceptional circumstances or it is considered necessary to do so, Council officers (as delegated by the relevant head of service) may depart from this Policy. Where such a decision is made, reasons will be given for doing so.
- 1.4.3 This version of this Policy was adopted by the Council in [To be confirmed when Full Council adopts this policy] and will remain in existence for a maximum period of five years from the date of adoption but will be kept under review and where necessary revised earlier. However, inconsequential amendments to this Policy and Appendixes to reflect administrative changes, may be made by the relevant Head of Service following consultation with the Committee chair.

2 General Principles

2.1 Standards of Service

- 2.1.1 When applying the policy and guidance the Council will have regard to the following principles:
 - openness
 - transparency
 - consistency
 - fairness
 - proportionality
- 2.1.2 When the Council deals with hackney carriage and private hire vehicle drivers, proprietors, and operators we will endeavour to be:
 - courteous
 - timely
 - responsive
 - fair
- 2.1.3 The Council expect all licence holders and prospective applicants to act similarly in their dealings with us. Where licence holders and prospective applicants do not uphold the expectations placed upon a professional licensed service, consideration will be given to referring the case to a sub-committee for suspending or revoking the licence or refusing their application. Such examples of unsuitable behaviour can include, but is not limited to, licence holders who are rude and confrontational to staff; not complying in a timely manner with reasonable requests of the Council; avoiding their responsibilities; or any example of other, similar unprofessional conduct towards the Authority or others.

2.2 Response times for the processing of application

- 2.2.1 The Council aims to process applications as efficiently as possible. However, there may be occasions where there are peaks in demand or other pressures placed upon the service.
- 2.2.2 Applicants are expected to allow 3 working days (starting with the first working day after) from the submission of application documents for officers to confirm receipt and validate/reject any documents submitted.
- 2.2.3 Applicants are expected to allow 10 working days (starting with the first working day after) from the submission of a complete, valid application for licences to be processed and issued.

- 2.2.4 New driver applicants should allow a minimum of 20 working days from the submission of a complete, valid application for licences to be processed and issued as additional checks are required.
- 2.2.5 Where the Council has to check an applicant's right to live and work in the UK, the applicant should allow an extra month for this check on top of the timescales above and be aware that no decision will be made until the right to live and work check has been completed.
- 2.2.6 To ensure that all information supplied is reasonably current at time of application, renewal applications can be submitted no more than 8 weeks before the expiry date of the existing licence, and new application must be completed with 6 months from first application.
- 2.2.7 The Council will not be held responsible for any delays or periods of expiration associated with the incomplete or late submission of applications.
- 2.2.8 The Council is empowered to seek such information as it may require to determine whether an applicant is a fit and proper person to hold a licence, and will not issue a licence until such enquiries are complete.

2.3 Pre application advice

2.3.1 If a new applicant believes there may be reasons why their application may take longer than normal or are uncertain whether this policy would affect the possible grant of their licence, we recommend contacting a Licensing Officer for confidential advice before applying for a licence. Application fees are nonrefundable.

2.4 Honesty and integrity

- 2.4.1 Applicants and licence holders are expected to always act with honesty and integrity when supplying the Council with information.
- 2.4.2 It is an offence for any person knowingly or recklessly to make a false declaration or to omit any material particular in giving information required in the application for a licence (s57 Local Government (Miscellaneous Provisions) Act 1976).
- 2.4.3 Where an applicant has made a false statement or a false declaration on their application for the grant or renewal of a licence, the licence will normally be refused. It is not necessary for the Council to determine whether false information was given knowingly or recklessly before refusing an application.

2.5 Overseas convictions

2.5.1 Where an individual is aware that they have committed an offence overseas which may be equivalent to those listed in Appendix B (Policy regarding the relevance of convictions and other related information), the applicant should seek independent expert or legal advice to ensure that they provide information that is truthful and accurate.

2.6 Updating the Council of changes

- 2.6.1 It is essential that the Council has up to date contact details for licence holders and applicants. It is also vital that the Council is notified of any change in circumstances which may compromise the licence holder's/applicant's suitability at the earliest opportunity.
- 2.6.2 If a licence holder/applicant changes their name, address, other contact details (including telephone number or email address) or operator during the term of their licence or whilst their application is pending, they must inform the licensing team in writing within seven days.
- 2.6.3 The licence holder must notify the Council in writing within 7 days of any change of operator through whom they work, or any change to the licensed driver. This includes occasions where a vehicle joins or leaves the employment of an operator, or where a driver starts or ends a period of hiring the vehicle.
- 2.6.4 A licence holder/applicant must notify the issuing authority within 48 hours of an arrest and release, charge or conviction of any sexual offence, any offence involving dishonesty or violence and any motoring offence (including penalty points).
- 2.6.5 Licence holders/applicants who fail to keep the Council up to date as required are likely to be considered unsuitable to hold a licence.

2.7 Licence Fees

- 2.7.1 Licence fees will be reviewed annually, with any increase normally taking effect on 1 April, with the aim of ensuring full cost recovery.
- 2.7.2 All applications must be accompanied by the appropriate fee.

2.7.3 We will not refund any part of the licence fee if a licence is surrendered, suspended or revoked or otherwise lapses.

2.8 Licence duration

- 2.8.1 The standard length for taxi and private hire vehicle driver's licence is three years, with a private hire vehicle operator's licence issued for 5 years. Any shorter duration licence will only be issued when a licensee has requested an annual licence, or where the specific circumstances of the case require it (e.g. when the licence holder's leave to remain in the UK is time-limited).
- 2.8.2 'Probationary' licences are not issued.
- 2.8.3 We will not issue an 'interim' licence (i.e., a licence pending the determination of application) unless there are exceptional circumstances which cause a delay in processing a renewal application. Any departure from this policy will be determined on a case-by-case basis by the licensing team following a written submission. In all cases the licensing officer's decision is final.

2.9 Decision making

- 2.9.1 Decision making with respect to taxi and private hire licences may be delegated to a committee, a sub-committee, or a licensing officer.
- 2.9.2 Epsom and Ewell Borough Council operates with a Licensing and Planning Policy Committee that is convenes at periodic intervals to determine licensing matters, with individual contentious cases being considered by a subcommittee formed of any three members from the Licensing Panel, which is a pool of members appointed by the Council.
- 2.9.3 Less contentious matters are delegated to appropriately authorised Council officers.
- 2.9.4 Where decisions may require the immediate revocation of a licence this role is delegated to a senior officer with responsibility for the licensing service.
- 2.9.5 A scheme of delegations showing where responsibility for decisions rests is attached at Appendix K.

2.10 Appeals

- 2.10.1 If your application is refused or your licence is revoked or suspended you may appeal to the Magistrates' Court (except a refusal to grant a new hackney carriage licence where the appeal is to the Crown Court) and we will advise you of your rights and procedures for an appeal.
- 2.10.2 Where a decision made by the Licensing Authority is appealed, the Council will normally defend the decision and seeks its costs of doing so in full from the appellant.

2.11 Ownership of licences and identification materials

2.11.1 All licences, drivers' badges, vehicle licence plates, internal identification cards, etc. remain the property of the Council as the Licensing Authority.

2.12 Reminders

2.12.1 It is the responsibility of the licence holder to remember that they need to renew their licence. However, as a courtesy we will endeavour to notify licence holders when their licence is due to expire. It is intended that reminders will normally be sent by email.

2.13 Method of communication

- 2.13.1 We will communicate with the licensed trade about various issues relevant to their licence. Our primary method of communication will normally be by email. Licences will only be posted on request.
- 2.13.2 Where licence holders or applicants are required to notify the Council of any changes in writing this should be sent by e-mail to licensing@epsomewell.gov.uk
- 2.13.3 The Royal Society for the Prevention of Accidents has found that using a hands-free phone while driving creates an increased risk of an accident, mainly due to the mental distraction and divided attention of taking part in a phone conversation at the same time as driving. If a driver is phoned or phones the licensing team whilst driving and using a hands-free device officers will explain that they need to rearrange the call for when they are safely stopped and end the call.

2.14 Partnership Working

- 2.14.1 The promotion of public safety relies on a partnership between licence holders, residents, regulatory authorities and the Council. Examples of the bodies that the Authority is keen to work in partnership with in order to protect the public and promote public confidence in the trade include;-
 - licensees,
 - the police,
 - the Home Office,
 - DVLA,
 - VOSA,
 - · neighbouring authorities,
 - County Council home-to-school transport providers,
 - Pub Watch,
 - and the local Business Improvement District

2.15 Information Sharing

2.15.1 The Council is part of the Multi-Agency Information Sharing Protocol (MAISP). The MAISP exists between public bodies in Surrey which establishes an agreed set of principles about sharing personal or confidential information. It enables each organisation signed up to the protocol to understand the circumstances in which it should share information and what its responsibilities are. The Surrey MAISP has been developed in partnership by representatives from Surrey's county and district councils, the health service and Surrey Police. Further information on the MAISP is available via https://www.surreycc.gov.uk/council-and-democracy/your-privacy/protocol-formulti-agency-staff

2.16 Joint Warranting Arrangements

- 2.16.1 The Council has delegated (or will delegate) the taxi and private hire enforcement functions under the legislation set out below to the other 11 Surrey local licensing authorities, in addition to retaining those functions within the Borough and has similarly received the delegated taxi and private hire enforcement functions from those local authorities.
- 2.16.2 This enables improved enforcement of the taxi and private hire trade across the County and improves safety within the licensed hackney carriage and private hire vehicle service operating in Surrey.
- 2.16.3 Functions delegated to the Surrey Local Licensing Authorities:
 - Local Government (Miscellaneous Provisions) Act 1976
 - Section 53(3)(a): Driver to produce his licence for inspection

- Section 58: Return of identification plate or disc on revocation
- Section 60: to suspend and revoke vehicle licences
- Section 61: to suspend and revoke drivers' licences
- Section 68: fitness of private hire vehicles
- Section 73: obstruction of authorised officer
- 2.16.4 The Surrey Local Authorities have delegated (or will delegate) the same functions to Epsom and Ewell Borough Council. The list of Surrey Authorities that have completed the Joint Warranting arrangement with Epsom and Ewell Borough Council is shown in the Scheme of Delegations at Appendix K.

2.17 Police Disclosure - Referrals to the DBS/police

- 2.17.1 In some circumstances it may be appropriate under the Safeguarding Vulnerable Groups Act 2006 for the Council as Licensing Authority to make referrals to the DBS/police. A decision to refuse or revoke a licence as the individual is thought to present a risk of harm to a child or vulnerable adult, will be referred to the DBS/police. The power for the Council to make a referral in this context arises from the undertaking of a safeguarding role. The Licensing Authority will make a referral to the DBS/police when it is considered that:
 - an individual has harmed or poses a risk of harm to a child or vulnerable adult; or
 - if they may harm a child or vulnerable adult or put them at risk of harm ('the harm test').; or
 - received a caution or conviction for a relevant offence; or
 - the person they are referring is, has or might in future be working in regulated activity.
- 2.17.2 If the above conditions are satisfied, the DBS may consider it appropriate for the person to be added to a barred list.

2.18 Whistleblowing

2.18.1 The purpose of this Policy is to protect the wider public using taxis and PHVs. However, it is in the application of these policies (and the training and raising of awareness among those applying them) that protection will be provided. Where there are concerns that policies are not being applied correctly, it is vital that these can be raised, investigated and remedial action taken if required. It is therefore recommended that Council staff follow the Council's Whistleblowing procedures to raise concerns so that these can be dealt with openly and fairly.

- 2.18.2 Members of the licensed trade are also encouraged to report concerns relating to public safety and will not face licensing action for bringing concerns to the authorities' attention.
- 2.18.3 The failure of a member of the trade to report incidents which they are aware of may however call into question their suitability to hold a licence.

3 Licensed Drivers

3.1 Licensed vehicles can only ever be driven by a licensed driver.

3.1.1 An offence is committed if someone drives a licensed hackney carriage when they do not hold a current hackney carriage driver licence issued by the same licensing authority. Similarly, an offence is committed if someone drives a licensed private hire vehicle when they do not hold a current private hire vehicle driver licence issued by the same licensing authority. This means that no family member or friend, unless they are licensed as a driver by the same licensing authority and insured for that purpose, may drive a licensed vehicle even if it is only for personal use.

3.1.2 Types of driver licence issues by this authority

- Hackney Carriage Driver Licence
- Private Hire Driver Licence
- School Run only Private Hire Driver Licence licence limits the holder to only drive private hire vehicles for home to school runs whilst under a contract with a County Council, where the same regular journey is taken. The driver is not licensed to be operated as part of the private hire circuit.
- 3.1.3 This Authority used to issue 'Restricted licences', authorising partners of licence holders to driver licensed vehicle for private use only. From the publication of this policy this Authority will no longer grant or renew restricted licence. Only one restricted licence is currently in effect in the Borough, and this licence will not be renewed on expiry.

3.2 Hackney Carriage Byelaws

3.2.1 The Council has made byelaws for hackney carriages, proprietors and drivers which must be complied with. Failure to comply with the byelaws may lead to prosecution of offenders in the Magistrates' Court or suspension/revocation of the relevant licence.

3.3 Parallel Procedures

3.3.1 The statutory and practical criteria and qualifications for private hire drivers are broadly similar to those for hackney carriage drivers, with the exceptions of the knowledge test and the hackney carriage byelaws. This section therefore applies equally to both private hire and hackney carriage drivers.

3.4 Fit and Proper Person

- 3.4.1 Licensed drivers will be required to convey vulnerable adults and/or children in their vehicles. The Council will not licence anyone to drive a hackney carriage or private hire vehicle unless it is satisfied that they are a "fit and proper" person. In considering the fit and proper test, applicants are required to submit information to satisfy the Council that they are a 'fit and proper person' to hold a hackney carriage and/or private hire driver's licence.
- 3.4.2 Where relevant information is received the Council will consider whether applicant for a driver licence meet the 'fit and proper' threshold in accordance with the policy regarding the relevance of convictions and other related information (Appendix B).

3.5 Application Process for Drivers

- 3.5.1 Initial applications must include:
 - A completed application form
 - Payment of the relevant fee (by debit or credit card only)
- 3.5.2 New applications will be determined once the following have been provided:
 - A copy of full DVLA driver's licence
 - Evidence of right to live and work in the United Kingdom
 - A medical report, completed by the applicant's registered doctor less than 4 months before application.
 - Child Sexual Exploitation (CSE) training course certificate from an approved provider
 - For a new Hackney Carriage Driver Licence, a Disability Awareness course certificate from an approved provider
 - Where driver is under 21 or held a relevant driving licence for less than 3
 years, a driving assessment certificate issued within the last 12 months
 from an approved provider.
 - Knowledge test pass
 - An English Language assessment (where necessary)
 - A criminal record check and/or a letter of good conduct from the Embassy or Consulate for the country of residence (if living outside the United Kingdom for a continuous period of three months or more since the age of 16)
 - An enhanced level DBS certificate
 - Confirmation of subscription to the DBS Update Service
 - a digital passport type photograph.
- 3.6 Full disclosure of Criminal records, cautions, etc.

- 3.6.1 Both Hackney Carriage and Private Hire drivers are included as "exceptions" within the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (the "Exceptions Order"). Accordingly, all drivers will be asked to disclose on their application form any caution or conviction even if it is spent for other purposes. There are some exceptions to disclosure however, and it is the applicant's responsibility to ensure that the information provided to the Council is accurate.
- 3.6.2 Where an applicant has made a false statement or a false declaration on their application for the grant or renewal of a licence, the licence will normally be refused. It is not necessary for the Council to determine whether false information was given knowingly or recklessly before refusing an application.

3.7 Driving Licence

3.7.1 Applicants must have a minimum of 1 year of holding either a full driving licence issued in the UK, the European Community (EC) or one of the other countries in the European Economic Area (EEA). In addition to the above, licensed drivers who hold an EC/EEA driving licence should obtain a UK DVLA licence within 1 year of residency. If this document is required, it must be produced before the initial licence is issued.

3.8 Entitlement to work in the United Kingdom

- 3.8.1 You must submit a document or combination of documents to demonstrate your entitlement to live and work in the United Kingdom or an online Home Office right to work share code. We will only accept documents as approved by the Home Office in accordance with their 'Right to Work Checklist' available on the .GOV website
- 3.8.2 If the check shows the person has a right to remain indefinitely in the UK, the check will not normally need to be repeated. A licence will not be granted to an applicant who is not entitled to work in the UK.
- 3.8.3 For those drivers and applicants who have time-limited right to work permission, the check must be repeated at each subsequent application to renew or extend the licence until such time as the applicant demonstrates that they are entitled to remain indefinitely in the UK. A licence will only be granted for the duration of the validity of the Visa or permission.

3.9 Medical assessment

3.9.1 The Council recognises that licensed drivers should be subject to more stringent medical standards than those applicable to normal car drivers because they carry members of the public who have expectations of a safe

- journey. The Council therefore requires Group 2 Standards of Medical Fitness as applied by the DVLA to the licensing of lorry and bus drivers, as the appropriate standard for licensed Hackney Carriage and Private Hire drivers.
- 3.9.2 Applicants shall provide a completed medical examination report supplied by the Council and completed by their own General Practitioner (G.P.) on first application and every 5 years thereafter until aged 65 years when annual examinations are required. A medical report completed by a Council approved G.P. is acceptable as an alternative to the report being completed by their own G.P. if the G.P carrying out the medical examination confirms a summary of applicant's medical records has been reviewed.
- 3.9.3 Licence holders with certain medical conditions (for example certain neurological or cardiovascular conditions) may be required to undergo annual medical reviews, submit annual reports, and adhere to additional requirements in order for them to retain their driver's licence.
- 3.9.4 Applicant's that hold Public Service Vehicle (PSV) and / or Large Goods Vehicle (LGV) licences, where the holder can produce proof of current medical examination less than 3 months old, will not be required to undergo a medical examination on first application.
- 3.9.5 Licence holders must advise the Council of any deterioration or other change in their health that may affect their driving capabilities within 48 hours of the change occurring.
- 3.9.6 Where there is any doubt as to the medical fitness of the applicant, the Council may require the applicant to undergo and pay for a further medical examination by a Medical Doctor appointed by the Council. No licence will be issued or remain in force until medical confirmation that the DVLA Group 2 standards have been met has been received.

3.10 Child Sexual Exploitation (CSE) training

- 3.10.1 The hackney carriage and private hire vehicle industry can play an important role in spotting and reporting the abuse, exploitation or neglect of children and vulnerable adults. As with any group of people, it is overwhelmingly the case that those within the industry can be an asset in the detection and prevention of abuse or neglect of children and vulnerable adults. However, this is only the case if they are aware of and alert to the signs of potential abuse and know where to turn to if they suspect that a child or vulnerable adult is at risk of harm or is in immediate danger.
- 3.10.2 The Council requires mandatory safeguarding awareness training for all drivers from a Council approved provider.

3.11 Disability Awareness Training for hackney carriage drivers

- 3.11.1 People with various disabilities should be able to immediately hire a wheelchair accessible hackney carriage with the assurance that the driver will have a good understanding and working knowledge of the needs of disabled passengers.
- 3.11.2 Disability awareness training from a council nominated provider is a precondition for being granted a hackney carriage driver's licence.

3.12 Driving Proficiency and Qualifications (where required)

- 3.12.1 The Council has nominated approved providers of a driving assessment specifically designed for hackney carriage and private hire drivers. Where a new applicant for a driver licence is under 21, or held a relevant driving licence for less than 3 years, a certificate showing completion of the relevant approved driving assessment must be provided dated within the last 12 months
- 3.12.2 If at any time there appears to be substantive reason to doubt that the driving of any driver is not of a satisfactory standard (e.g., following complaints), the Council may require the licence holder to pass a driving assessment, paid for by the driver.

3.13 Knowledge Test

- 3.13.1 Applicants for a driver's licence are required to have passed the Council's knowledge test. This test will ensure that the applicant has sufficient knowledge in relation to:
 - This policy, particularly where it relates to ongoing requirements for licence holders (e.g. licence conditions, the Penalty Points Scheme, Requirements to update the Council of any changes, etc.)
 - The Highway Code, particularly changes to the code since the grant of their DVLA licence
 - Numeracy, particularly with reference to calculating the correct change
 - Verbal and written English communication, including the ability to write a receipt, understand written information provided by the Council relating to their licences, understanding typical verbal passenger requests and being able to respond clearly to such requests.
 - Local knowledge: The location of key landmarks, schools, stations, hospitals, health centres, schools, public houses, leisure and sport facilities within the Borough, and the roads used to travel between them.
 Private Hire applicants need only indicate the main roads used, however hackney carriage applicants will need to name the individual roads used.

Applicants for a Hackney Carriage drivers licence will additionally be tested on;

- The borough boundary roads
- The location of hackney stands, the number of bays and the hours of operation
- The hackney carriage byelaws
- The hackney carriage Fare Table.
- 3.13.2 The Council does not limit the number of times applicants can take the test, however each attempt at the test must be paid for separately, in advance. If applicants fail successive knowledge tests they will be advised to wait a further month before attempting the test again so that they can undertake the necessary revision.
- 3.13.3 Any applicant cancelling the knowledge test with less than 24 hours' notice will not be refunded the fee.
- 3.13.4 Where an applicant is applying for a 'School Run only' private hire vehicle drivers licence they will not be asked the local area knowledge questions. A condition will be added to the private hire vehicle drivers licence restricting the use accordingly.

3.14 English Language assessment (where necessary)

- 3.14.1 Hackney carriage and private hire drivers need to be able to communicate with customers to discuss a route or fare, as well as to read and understand important regulatory and safety information. It is also important that all licence holders can read and understand information provided to you by the Council. It is therefore essential for public safety that all taxi and private hire licence holders can communicate in English at an appropriate level.
- 3.14.2 The knowledge test required to be completed by all new applicants will require the applicant to read, write, speak, and understand spoken English to a reasonable standard. Where following the knowledge test there is reasonable cause to believe that there is a need to assess an applicant's English language skills further, an authorised officer may require an applicant to evidencing their English language standard by producing a qualification such as an English GCSE or AS Level Certificate. Other certificates (e.g., Secure English Language Test (SELT) or English as a second language or foreign language qualification (e.g., ESOL)) may be accepted at the discretion of the Authorised Officer
- 3.14.3 The above English Language Requirements may also be applied retrospectively to existing licence holders where there is a substantiated complaint, or an expressed concern from an identified person, concerning their English language ability.

3.15 Overseas Criminal records Checks

- 3.15.1 If an applicant or current licence holder has spent three continuous months or more overseas since the age of 16, the Council will need to see evidence of a criminal record check from the country / countries lived in / visited covering the period that the applicant was overseas. This includes any time spent overseas during the course of a licence.
- 3.15.2 An original authenticated certificate of good conduct (together with a translation into English if the document is in another language) obtained from the embassy for each relevant country in which the applicant has lived, worked, or visited for 3 months or more during the 5 years immediately prior to their arrival in the UK is required to be submitted. Any translation of the document must be from the embassy which issued the original document and must be validated by the corresponding embassy located in the UK.

3.16 Criminal Record Checks

- 3.16.1 A criminal record check on an applicant and/or driver is an important safety measure. All applicants are required to provide an Enhanced Disclosure certificate through the Disclosure and Barring Service. This disclosure will include details of live and spent convictions, police cautions and other relevant information (including non-conviction information) that indicates that a person poses a risk to public safety.
- 3.16.2 The DBS certificate must include adult and child barred list checks, completed for the required role of taxi driver. For a School Run only Private Hire Driver Licence the certificate may be completed for the required role of working with children in the capacity of 'child and adult workforce' or 'other workforce'.
- 3.16.3 The Council will only accept DBS certificates which are applied for through the approved nominated third party provider, or directly through another licensing authority (e.g., District Council or County Council).

3.17 DBS update service

3.17.1 All applicants/licence holders must subscribe to the Disclosure and Barring Service Online Update Service within 30 days of the DBS certificate being issued. This will be required by a condition placed on the licence. Any costs associated with maintaining this subscription must be met by the licence holder. If a licence holder fails to sign up to the update service, or fails to maintain subscription during the period that the licence is in force, then the licence will be suspended or revoked until a new enhanced DBS certificate is supplied

- 3.17.2 The Council use the DBS Update Service to routinely check for new information every six months or sooner if information comes to light that calls into question whether a person is "fit and proper" to hold a licence.
- 3.17.3 Should the check reveal that new information is available the DBS certificate will no longer be relied upon, and a new DBS certificate will be required. The Council can also request another disclosure at any time if a further check is considered necessary.

3.18 Passport Photograph

- 3.18.1 Applicants must provide a digital passport quality photograph of themselves. The photograph must:
 - Be taken against a clear, neutral coloured background with no other objects or people
 - Be coloured, clear and in sharp focus
 - Be a recent photograph (taken in the last 12 months)
 - Be unaltered by computer software
 - Be at least 600 pixels wide and 750 pixels tall
 - Be at least 50KB and no more than 10MB
 - Be in the JPEG file format

Applicants must make sure:

- They are facing forward and looking straight at the camera
- They present a full head shot from top of hair to bottom of neck
- Their eyes are open and clearly visible (no sunglasses or tinted glasses and no hair across the eyes)
- They show their full face, without any head coverings, unless worn for religious beliefs

3.19 National Register of Taxi and Private Hire Licence Revocations and Refusals (NR3)

- 3.19.1 The Council provides information to the National Register of Taxi and Private Hire Licence Revocations and Refusals (NR3). This allows licensing authorities to share details of individuals who have had a hackney carriage or private hire licence revoked, or an application for one refused.
- 3.19.2 Where a hackney carriage/PHV licence is revoked, or an application for one refused, the authority will automatically record this decision on NR3. Information will be retained on NR3 for a period of 25 years.

- 3.19.3 All applications for a new licence or licence renewal will automatically be checked on NR3. If a search of NR3 indicates a match with an applicant, the authority will seek further information about the entry on the register from the authority which recorded it. Any information received because of an NR3 search will only be used in respect of the specific licence application and will not be retained beyond the determination of that application.
- 3.19.4 Information will be processed in accordance with the Data Protection Act (DPA) and General Data Protection Regulation (GDPR). Any searches, provision, or receipt of information of or under NR3 are necessary to the authority's statutory licensing functions of ensuring that all drivers are fit and proper to hold the applicable licence.
- 3.19.5 A licence holder who wishes to raise any issue related to the data protection legislation, including by relying on any of the rights afforded to the data subjects under the GDPR, can do so to the authority's Data Protection Officer at foidpa@epsom-ewell.gov.uk. This includes submitting a subject access request.
- 3.19.6 Licence holders always have the right to make a complaint to the Information Commissioner's Office (ICO). Advice on how to raise a concern about the handling of data can be found on the ICO's website: https://ico.org.uk/make-a-complaint/

3.20 Drivers Badges

- 3.20.1 On grant of licence the driver will be issued a identification badge. The driver's badge must be worn on the driver's person in a position where it may be seen at all times.
- 3.20.2 The driver shall, upon the expiry (without immediate renewal), revocation or suspension of this licence, return to the Council the Driver's badge issued by the Council.

3.21 Conditions of Licence

- 3.21.1 The Private Hire Vehicle Drivers Licence conditions are set out in Appendix C. The Council considers that it is reasonably necessary that these conditions be attached to the grant of vehicle licences, however, the sub-committee has full discretion to modify and/or add to these standard conditions as may be considered reasonably necessary in any individual case.
- 3.21.2 The Council is not permitted to attach conditions to a hackney carriage driver's licence. However, hackney carriage drivers are subject to the Council's byelaws which are shown in Appendix A.

3.22 Conduct

- 3.22.1 Licensed drivers must ensure that the service they supply enables them to transport their passengers comfortably, safely, and conveniently. As professional drivers, the Council and passengers expect their driving and general behaviour to be of the highest possible standard. It is also key that they are honest and trustworthy.
- 3.22.2 The Council expects licensed drivers to treat customers and members of the public as well as other road users with courtesy and respect. Licensed drivers should never expose their customers or other members of the public, especially the more vulnerable and those requiring assistance, to any form of abuse or other behaviour that may cause alarm, distress, offence or discomfort.
- 3.22.3 Licensed drivers are responsible not only to their passengers and other road users but also for upholding the reputation of the hackney carriage and private hire trade as well as the Council as Licensing Authority.

3.23 Duties for drivers of wheelchair accessible vehicles

- 3.23.1 The licensing authority may maintain a list of designated wheelchair accessible hackney carriage and private hire vehicles.
- 3.23.2 Drivers of designated wheelchair accessible vehicles are required to undertake the following duties:
 - To carry a passenger while they are in a wheelchair
 - To not make an additional charge for doing so
 - If the passenger chooses to sit in a passenger seat, to carry the wheelchair
 - To take such steps that are necessary to ensure that the passenger is carried in safety and reasonable comfort
 - To give the passenger such mobility assistance as is reasonably required
- 3.23.3 Failure to abide by these duties could lead to prosecution, substantial fines and/or suspension or revocation of licence

3.24 Guide or assistance dogs

3.24.1 Guide dogs are working dogs, not pets; their owners rely on them for both independence and mobility. The Equality Act 2010 places a duty on hackney carriage and private hire drivers to carry guide dogs and other assistance dogs at no extra cost.

- 3.24.2 Unless they have a medical exemption certificate, taxi and private hire drivers must:
 - Carry the assistance dog in their vehicle and allow it to remain with its owner
 - Not charge extra for carrying an assistance dog in their vehicle

3.25 Driver exemptions from equalities duties

3.25.1 Drivers can apply for an exemption (certificate) from the duties to assist passengers in wheelchairs or with guide dogs, either on medical grounds or because their physical condition makes it impossible or unreasonably difficult for them to comply with the duties. The exemption application will need to be verified by a medical practitioner, with the applicant being responsible for any associated costs

4 Licensed Vehicles

4.1 Differences between hackney carriages and private hire vehicles

- 4.1.1 One of the key differences between the vehicles is that a private hire vehicle (PHV), unlike a taxi, cannot ply for hire, which means that all journeys must be pre-booked in advance through a licensed operator. Locally licensed hackney carriages can be found at the hackney carriage stands around Epsom and they can be hailed in the street.
- 4.1.2 It is an offence for PHVs to pick up passengers from any location unless prebooked via a licensed operator.
- 4.1.3 This authority regulates the fares charged by hackney carriages, whereas there is no power to do so with PHVs.
- 4.1.4 There are many similarities in the licensing of hackney carriages and private hire vehicles, however there are also important differences. Most of this section applies equally to both private hire and hackney carriage drivers, however paragraphs 4.19 to 4.27 apply to hackney carriages only, and paragraphs 4.28 4.33 apply to private hire vehicles only.

4.2 Numbers of vehicles

4.2.1 This Authority does not limit the maximum number of hackney carriage or private hire vehicles.

4.3 Vehicle specification and standards

4.3.1 The Council has adopted minimum specification standards and conditions in respect of hackney carriage and private hire vehicles, and these are set out in Appendix D. Where, due to an accident, a licensed vehicle is unroadworthy and the proprietor wishes to have a temporary licence granted for a replacement vehicle, this vehicle must also comply to these standards.

4.4 Dual licensing

- 4.4.1 This Authority will not issue a vehicle licence to a vehicle already licensed as a hackney carriage or PHV by a different authority. If after licensing a vehicle is found to be licensed by another authority, then the vehicle licence issued by this Council will be suspended.
- 4.4.2 The Authority has had a unique arrangement with the Public Carriage Office to allow dual licensing of vehicles which was initially intended to enable effective transition from the old Greater London Authorities. With effect from 1 January 2014 the Authority no longer licensed new dual vehicles.

4.5 Vehicle proprietors must be 'fit and proper'

- 4.5.1 Licensed vehicle proprietors are in a position of trust, as they are responsible for ensuring the maintenance of vehicles which are used to transport members of the public, and they have an important role in ensuring the vehicle is not used for criminal or other unacceptable purposes.
- 4.5.2 Therefore, the Council must ensure that applicants / licence holders are suitable persons. In most cases individuals that license a vehicle will already be licensed as a driver, and the checks undertaken as part of this process can be relied upon. If this is not the case a basic DBS certificate for each proprietor dated within the last 12 months must be supplied.
- 4.5.3 The Council will consider whether applicant for a vehicle licence meet the 'fit and proper' threshold in accordance with the policy regarding the relevance of convictions and other related information (Appendix B), except where it relates to driving offences.

4.6 Smoking in a licensed vehicle

- 4.6.1 Smoking in a smoke-free place is prohibited under the Health Act 2006. In this context a 'smoke-free place' includes hackney carriage and private hire vehicles, as well as a private hire operator's premises which is open to the public.
- 4.6.2 It is the responsibility of both the driver and the proprietor to ensure 'no smoking' signage, as prescribed by the Health Act 2006, is always displayed in their licensed hackney carriage or private hire vehicle.
- 4.6.3 It is specified as a condition of licensing that the use of e-cigarettes and vaping products are also prohibited in licensed vehicles.

4.7 Application Process for Vehicle Proprietors

- 4.7.1 Applications for a vehicle licence must include the following:
 - a) a completed application form
 - b) payment of the relevant fee (by debit or credit card only)
 - c) A basic DBS certificate: for each proprietor (or director or partner if the applicant is a business) dated within the last 12 months if the proprietor is not a licensed driver with the Council.
 - d) Vehicle Registration Document (V5): The vehicle registration document (V5) must be provided on first licence application. If you have recently bought the vehicle and you do not have a reissued V5, we will accept the tear off slip (V5C) or the confirmation of registration document from the dealership, however the V5 must be supplied to the Council without delay once issued.
 - e) **Insurance Certificate**: An insurance certificate must be provided on application for a licence for the following:
 - A Hackney Carriage vehicle requires insurance to cover public hire and hire and reward.
 - A Private Hire vehicle requires insurance to cover hire and reward.

The certificate must clearly show the vehicle registration number and the names of all persons entitled to drive the vehicle.

A cover note will be accepted and the licence will be issued on the understanding that a certificate of insurance will be produced at the earliest opportunity.

- f) MOT test pass certificate: A valid MOT certificate no more than eight weeks old from the date of application must be presented on application, an exception is made for vehicles which have covered less 2000 miles. If the MOT certificate contains advisory notes, the defects must be rectified prior to the next inspection date or at an earlier date determined by the Authorised Officer.
- g) LOLER certificate (where a lift is fitted for wheelchair access): A purpose designed wheelchair lift shall conform to the Lifting Operations and Lifting Equipment Regulations 1998 (LOLER) Regulations. A report, confirming that the lifting equipment is safe to use, shall be presented at the time of the vehicle inspection. Vehicles presented for inspection with a wheelchair lift will require a LOLER certificate that is valid for a period of six months from the date of issue. Note: Passenger lifting equipment will need to be thoroughly examined by a competent person, in use, at least once every six months.

4.7.2 Only when all required documentation is supplied an appointment will be made for the vehicle to be inspected at the Town Hall. A licensing officer will then ensure the vehicle meets the vehicle specification and standards (Appendix D) before granting the licence.

4.8 Licence Plate

- 4.8.1 An external plate shall be fixed in a vertical plane to the exterior of the rear of the vehicle, either to the body or on a bracket, as specified by the Council.
- 4.8.2 A smaller internal double-sided version of the licence plate must be placed inside the top near side of the windscreen or as directed by a Council officer.
- 4.8.3 Plates must be always kept clean and legible.
- 4.8.4 On revocation or expiry of a vehicle licence or the suspension of a licence the Council may serve notice on the proprietor requiring him to return the plate within seven days after service of the notice.

4.9 Conditions attached to licence

- 4.9.1 The Council will attach conditions to a vehicle licence upon grant. A set of standard conditions for hackney carriage vehicle licences is set out at Appendix E, and a set of standard conditions for private hire vehicles is set out at Appendix F.
- 4.9.2 The Council considers that it is reasonably necessary that these conditions be attached to the grant of vehicle licences, however, the sub-committee has full discretion to modify and/or add to these standard conditions as may be considered reasonably necessary in any individual case.

4.10 Alteration of Vehicle

4.10.1 No material alteration or change in the specification, design, condition or appearance of the vehicle shall be made without the approval of the Council at any time while the licence is in force.

4.11 Wrapping of Vehicles

4.11.1 Car wrapping is when a car is covered, either fully or partially, in a special vinyl film to change how the car looks, either with a new colour, new design or a new texture (e.g., matt or gloss). Since 2017, the DVLA requires the 'wrapping' of vehicles to be notified to it as a change which must be recorded on the V5 Registration Document. Vehicle proprietors wishing to 'wrap' a currently licensed vehicle must first inform this Licensing Authority of their intention to do so. This is in order that the vehicle licence may be suspended temporarily pending their provision of an updated V5 Registration Document specifying the colour change.

4.12 Security and Closed-Circuit Television (CCTV) in Licensed Vehicles

- 4.12.1 The Council views the installation of overt CCTV and recording equipment within all licensed vehicles as a positive measure in safeguarding passengers and drivers and acts as an aid in the prevention and detection of crime. Furthermore, audio sound (only activated in the event of an incident, otherwise mute) and image recordings are good evidence if allegations are made against drivers.
- 4.12.2 Where such a CCTV system is installed, this Licensing Authority requires signage to be displayed informing both prospective and actual passengers of its presence. This signage must be prominently displayed on both Nearside and Offside rear passenger door windows, so as to be clearly visible from inside and outside, easily read, and is required to show both words and symbols.
- 4.12.3 The system must be approved by the Council. Transport for London have issued 'Guidelines For CCTV Systems In Licensed London Taxis & Private Hire Vehicles', and officers will have regard to this guidance when approving a CCTV system.
- 4.12.4 Where CCTV is fitted to a licensed vehicle, and in use, it must:
 - a) comply with the Information Commissioner's data protection requirements and Codes of Practice
 - b) be capable of recording date, time and vehicle identification, such features to be always activated when in use
 - c) be event activated (e.g., door or ignition) and must continue to record for at least 30 seconds after the ignition is switched off
 - d) be capable of storing recorded material for at least 30 days
 - e) incorporate a panic button which stores at least the previous 10 minutes of recording in a separate part of the recording media be capable of having recorded material downloaded to another storage device for reviewing
 - have storage media which is not accessible to the driver or any other person travelling in the vehicle
 - g) have a data storage unit, securely fixed to the vehicle, and stored separately from the recording unit, out of view of any passenger in the vehicle

- h) be capable of recording images of all passengers travelling in the vehicle and the driver
- i) be always kept in good working order
- enable recordings to be made available to Licensing Officers or the Police on request

4.13 Use of Partition Screens (Driver Safety Shield)

- 4.13.1 The Council supports the installation of partition screens between the driver and passengers in both hackney carriage and private hire vehicles. These partitions are designed to act as a buffer between the driver and passenger to improve safety. It is also recognised that by fitting and using such devices, together with employing other measures such as good ventilation and positioning of passengers, they may provide some degree of protection from, and control of, disease and infection, including COVID-19.
- 4.13.2 However, all newly manufactured vehicles have been rigorously tested (NCAP) and achieved European Whole Vehicle Type Approval. Changing or adding to the interior of the vehicle can alter the 'type approval' and may have consequences as to what happens inside a vehicle in the event of a collision. Consequently, whether a safety partition screen should be installed is a matter for operators, vehicle proprietors and their insurer.
- 4.13.3 To assist in making a decision, the Council has produced 'Guidelines for Private Hire Vehicle Safety Partition Screens', together with the specific requirements, at Appendix G.
- 4.13.4 For all licences granted after this version of the policy has been published a new condition will be added to the licence regarding compliance with Appendix G in the event a partition is installed. For all existing licence holders who do not yet have this condition on their licence, the Council expects them to comply with Appendix G and notify the Council of the addition of a partition to their vehicle.

4.14 Documents required throughout the term of a licence

4.14.1 Renewal insurance certificate: The council will undertake periodic auditing of licensed vehicles to verify that the vehicle is appropriately insured. A current valid certificate of insurance or cover note must be provided to the Council within 48 hours of any request being made. Failure to comply with this requirement, or if the policy holder or insurance company cancels the insurance policy, will lead to the immediate suspension of the vehicle's licence until the Council is satisfied that the vehicle and driver(s) have correct insurance cover. Licence holders are encouraged to send any new insurance certificates to licensing@epsom-ewell.gov.uk following the expiry/lapse of any certificate previously supplied to the Council.

4.14.2 Interim MOT test pass certificate: Vehicles licensed to carry persons for hire and reward must be safe for the purpose for which they are licensed. To ensure licensed vehicles are fit for the road and safe to drive, licence holders will need to provide additional MOT pass certificates in accordance with the following table:

Age of vehicle	MOT Test requirements
Under 4 years of Age	Annually
Between 4 years and under 10 years of age	Tested twice a year (6 monthly intervals)
10 years of age and over	Tested three time a year (4 monthly intervals)

The MOT test pass certificate supplied must be no more than eight weeks old on the date it is due. Where an MOT Test Certificate has been issued to a licensed vehicle with advisory notes, the defects must be rectified prior to the next test date (e.g., within 4 months for vehicles over 10 years old) or at an earlier date determined by the Authorised Officer.

Dual Licensed Vehicles are required to undertake separate roadworthy and mechanical fitness tests by the Public Carriage Office, and may produce evidence they have passed those mechanical fitness tests instead of the interim MOT certificate

An exception from the interim MOT test pass certificate requirements may be made for vehicles which have a DVSA approved servicing plan which requires the vehicle to be serviced at periods of no less than every four months. Where the licensing team assess that the servicing plan is adequate the 'pass' inspection report may be provided rather than an MOT certificate.

4.15 Accidents in vehicles

4.15.1 If at any time the vehicle is involved in an accident, causing damage which materially affects the safety, performance or appearance of the vehicle, or the comfort or convenience of persons carried therein, the proprietor must notify the Council of this fact as soon as possible and in any event in writing within 72 hours. An e-mail should be sent to licensing@epsom-ewell.gov.uk describing the damage to the vehicle and include photos of the damage if possible.

- 4.15.2 If it is intended that the vehicle shall continue be used following an accident, officers may require it to be presented for inspection as soon as possible after the accident has taken place. If there is any doubt as to the mechanical fitness of the vehicle a new MOT test may also be required, paid for by the proprietor.
- 4.15.3 Failure to present the vehicle for inspection on request following an accident will result in the vehicle's licence being suspended until such time as the vehicle is presented for examination.
- 4.15.4 If the vehicle is not going to be repaired, the proprietor is responsible for removing the external plate and internal plate and returning these to the Council.

4.16 Change of ownership

4.16.1 If the proprietor of a licensed vehicle transfers their interest in the vehicle to another person, they shall within fourteen days after such transfer give notice in writing to the Council, specifying the name and address of the person to whom the vehicle has been transferred.

4.17 List of licensed wheelchair accessible vehicles

4.17.1 In accordance with Section 167 of the Equality Act 2010 the Council may maintain and publish a list of licensed hackney carriage or private hire vehicles which are fully wheelchair accessible.

4.18 Exemption from Carrying Assistance Dogs or Wheelchairs

4.18.1 Where a driver has been issued with a medical exemption, giving an exemption from either assistance dogs or from loading passengers in wheelchairs the driver must display the exemption notice in their vehicle just below the internal licence plate. This exemption will apply to the driver and should be removed when the driver is not using the vehicle for hire and reward.

4.19 Hackney Carriage Stands

- 4.19.1 The Council provides hackney carriage stands at the following locations:
 - Station Approach 5 bays on the North (station) side
 - Station Approach feeder rank 7 bays on the South (Hudson House) side
 - High Street 4 bays (between the Clock Tower and West Street)
 - High Street 7 bays (to the East of the junction with Waterloo Road)

- Upper High Street 4 bays (just after the cinema)
- 4.19.2 Hackney Carriage Ranks/Stands are provided in accordance with the Local Government (Miscellaneous Provisions) Act 1976.
- 4.19.3 Hackney carriage drivers must not leave their vehicle unattended on a Hackney Carriage Stand/Rank for any reason. The Stands are provided solely for the purpose of plying for hire and are not there as parking spaces for drivers.
- 4.19.4 Private hire vehicles are not permitted to stop on a Hackney Carriage Stand/Rank for any reason.

4.20 Hackney Carriage Byelaws

4.20.1 The Council has made byelaws for hackney carriages, proprietors and drivers which must be complied with. Failure to comply with the byelaws may lead to prosecution of offenders in the Magistrates' Court or suspension/revocation of the relevant licence.

4.21 Wheelchair accessible hackney carriages

- 4.21.1 The Council is committed to an accessible public transport system in which disabled people have the same opportunities to travel as other members of society.
- 4.21.2 The Council has a policy that they will only grant applications for hackney carriage vehicle licences in respect of wheelchair accessible vehicles.

4.22 Hackney carriages must be purpose-built taxis

4.22.1 Only purpose-built taxi type vehicles will be licensed as hackney carriages. This is to ensure that the two types of licensed vehicle, hackney carriage and private hire can easily be distinguished by the travelling public and to avoid confusion, since the method of hire is distinct and different.

4.23 New hackney carriages emissions standards

4.23.1 All hackney carriages which are being presented for first licensing by the Authority should be Euro 5 compliant. An exception is made for vehicle proprietors who have held a Hackney Carriage Vehicle Licence issued by the Authority prior to January 2013, who are entitled to renew/transfer their vehicle to a Euro 4 standard vehicle.

4.24 Hackney Carriage Fares

4.24.1 Hackney Carriage Fares will be set in accordance with the Transport for London taxi fares.

4.25 Card Payment Terminals in Hackney Carriages

4.25.1 By [date to be agreed following consultation with the trade] all hackney carriages must be equipped with a card payment terminal which can accept payment by credit/debit card, including contactless and print a receipt. The device must be connected, maintained, and working at all times to ensure customers are able to pay by card. Private Hire Vehicles are also permitted to carry payment terminals although the Council does not mandate a specification.

4.26 Taxi meters must be clock-calendar controlled

4.26.1 To reduce the risk of overcharging, by [date to be agreed following consultation with the trade] all licensed hackney carriage vehicles shall be fitted and operated with a calendar-controlled taxi meter.

4.27 Advertising on hackney carriages

- 4.27.1 Hackney Carriages are permitted to have full advertising wraps on their vehicles subject to the same advertising standards approved by the TfL.
- 4.27.2 Advertising must be approved in writing by a licensing officer prior to it being included on a licensed vehicle.

4.28 Contract Vehicles and Courtesy Vehicles

- 4.28.1 The Council will have regard to the DfT Private Hire Vehicle Licensing note dated August 2011 (and any supplementary DfT guidance notes) when considering whether any particular contract vehicle requires a private hire vehicle licence.
- 4.28.2 All vehicles with 8 or fewer seats, excluding the driver, that carry passengers for hire and reward must be licensed with the Council. Although there has been some legal debate regarding this particular issue, current case law supports the view that vehicles which are used as "courtesy cars", i.e., for transporting customers to and from airports, hotels, night-clubs, etc. are being provided for hire and reward in the course of business, irrespective of whether

- or not a charge is made for such service. They should, accordingly, be licensed with the local Council.
- 4.28.3 Those operating "courtesy cars", i.e., for transporting customers to and from airports, hotels, nightclubs, etc., should have an operator's licence, and the vehicle and driver must be appropriately licensed.

4.29 New private hire vehicle age requirement

4.29.1 All private hire vehicles which are being presented for first licensing by the Authority may be no older than six years old as of the date of application. An exception may be made for older vehicles if considered by an Authorised Officer to be in exceptional condition i.e., bodywork which is in immaculate condition, with a full service history and no advisories.

4.30 Imported vehicle

- 4.30.1 Any imported vehicles must also meet the technical standards of either; -
 - Europe Whole Vehicle Type approval
 - British National Type approval
 - Individual Vehicle approval
- 4.30.2 The Authority will require a registration certificate stating the approval status of the vehicle and that it meets the above requirements.

4.31 Stretched Limousines and Speciality Vehicles

- 4.31.1 The licensing of limousines and speciality vehicles will be approached on the basis that these vehicles may have a legitimate role to play in the private hire trade, meeting a public demand. Therefore, licence applications for such vehicles will not be automatically rejected, and each application will be considered on its merits. However, in considering any application for these types of vehicles, the Council will have regard to any relevant guidance issued by the government, such as by the Vehicle and Operator Services Agency. However, the overriding consideration is public safety.
- 4.31.2 As these vehicles may not meet the usual vehicle specification, additional documentation and inspection will be required as part of the application process so that the Council can be satisfied as to the safety and suitability of any individual vehicle. This is set out further in Appendix H.
- 4.31.3 The Council strongly recommends that anyone who wishes to licence a limousine (or any other non-standard specialty vehicle) contact the Council's

Licensing Team before purchasing a vehicle to ensure that advice can be provided as to whether the vehicle is likely to meet the required mechanical and safety standards.

- 4.31.4 Stretch limousines or similar vehicles which can carry fewer than 9 passengers for reward and used for transport to school proms or for adult bookings will be required to be licensed as a private hire vehicle and the driver and operator must hold the relevant private hire licences.
- 4.31.5 Vehicles with nine or more passenger seats may not be licensed as a Private Hire vehicle.

4.32 Advertising on Private Hire Vehicles

4.32.1 Private hire vehicles may display either on the front or rear side doors of the vehicle a sign advertising the name and telephone number of the Private Hire Operator not more than 50mm high, the sign shall not include the words taxi or cab and shall not be illuminated. If the vehicle is licensed to carry more than six passengers, the letters or figures shall not be more than 75mm high. In exceptional circumstances amendments may be permitted by the Authority.

4.33 Exemption from displaying a private hire licence plate

- 4.33.1 The Licensing Authority recognises operators may wish to cater for an 'exclusive' or important corporate client base and provide a vehicle of a prestige model and specification without the display of a licence plate or vehicle signage. An operator may apply for an exemption to the requirement to display a licence plate for the following approved work only:
 - Exclusive contract work where the dignity or security of the person would be affected if they were to be seen in a plated licensed vehicle, or
 - Other 'special' journeys where the client specifically requests a vehicle of a prestige make and specification at the time of booking and pays recognisably higher fee for that service compared to that charged for a non-exempt vehicle displaying corporate identity.
- 4.33.2 To apply for an exemption, the operator must supply the following documents:
 - completed private hire vehicle plate exemption application form, detailing the reasons for exemption
 - letters from clients demonstrating the vehicle is required for chauffeured work and
- 4.33.3 The Council will also consider factors such as the list price of the vehicle, specification of vehicle and volume of exclusive or contract work as detailed above.

- 4.33.4 An exemption will only be granted where the Council is satisfied that the vehicle will be used exclusively or primarily for 'chauffeured' private hire work. It is expected that 'primary use' means at least 90% of the work, as evidenced via booking records. The Council may undertake an audit of booking records at any point during the exemption to ensure that the vehicle is being used for chauffeured work.
- 4.33.5 Exemptions may be withdrawn at any time by the Council and if the licence holder cannot prove that the vehicle is used exclusively or primarily for 'chauffeured' private hire work.
- 4.33.6 Exemptions are subject to annual review and licence holders must reapply each year.
- 4.33.7 If an exemption request is refused or withdrawn, the operator may ask for the Council to reconsider the decision by providing new, relevant information within 21 days of that decision. The Council aims to reconsider any such application within 10 working days of receipt.
- 4.33.8 The Council will make a record of the reasons for the decision and provide the operator with a copy of that document. There is no right of appeal to the Magistrates' Court.
- 4.33.9 If an exemption is granted, the vehicle licence will subject to the additional conditions at Appendix I

5 Licensed Private Hire Operators

5.1 Driver, Vehicle and Operator licence must match

- 5.1.1 A Private Hire Operator means a business or person who makes provision for the invitation or acceptance of bookings for private hire vehicles. A licensed private hire vehicle must only be booked through and despatched to a customer by a Private Hire operator, who must ensure that every licensed hire vehicle is driven by a person who holds a licence issued by the same Authority that issued the vehicle licence. This authority must be the same authority that issued the operator's licence, and this is known as the 'trinity' of licences.
- 5.1.2 Any person who makes provision for inviting or accepting bookings in the Epsom and Ewell Borough, or who dispatches private hire vehicles licensed by Epsom and Ewell Borough Council must first obtain a Private Hire Operator's Licence from the Council.

5.2 Location of operator base

- 5.2.1 The Council will not normally grant a licence to an operator whose premises are located outside the borough. This is to ensure that proper regulation and enforcement measures may be taken by the Council and is in no way intended to be a restraint of trade.
- 5.2.2 'Virtual offices', where an address is provided within the borough with no intention by the applicant to operate from that address, with posts and calls forwarded to a different address outside the borough where the provision for the invitation or acceptance of bookings will actually take place, will not be licensed as an operator's base.
- 5.2.3 Upon the grant of an operator's licence, the Council will specify the address from which the operator may operate. This will be the premises where the records which they must keep in accordance with the conditions of the licence must be kept and the provision for bookings is made.
- 5.2.4 Operators based outside the borough (but with a virtual office within the borough) who have been granted an operator licence prior to the adoption of this amendment to policy will retain 'grandfather rights' providing the conditions of the licence are fully met and they continue to fully co-operate with the licensing authority.

5.3 Private hire operator must be 'fit and proper'

5.3.1 Licensed operators have a responsibility to ensure that the drivers and vehicles they use to fulfil bookings on their behalf convey the public, including vulnerable adults and/or children, in safety. The Council will not licence anyone to operate a private hire vehicle unless it is satisfied that they are a "fit and proper" person. In considering the fit and proper test, applicants will be required to satisfy the 'fit and proper' threshold in accordance with the policy regarding the relevance of convictions and other related information (Appendix B), except where it relates to driving offences.

5.4 Business trading name

- 5.4.1 The private hire operator's proposed business name must not be the same or like the name of an existing private hire operator licensed by the Council or any other neighbouring council.
- 5.4.2 The proposed business name must not be the same or like that of a private hire operator whose licence the Council has lapsed in the preceding 18 months.

5.5 Number of Vehicles

5.5.1 An operator can apply for a licence for the number of vehicles that they have at the time of application. An operator's licence authorises vehicles up to the number stated on the licence. If an operator wishes to add to his or her fleet above that number, then the operator must apply to vary their licence (and pay the relevant fee) before they start operating more than the number of vehicles as specified in their existing licence.

5.6 Application Process for Private Hire Operators

- 5.6.1 Applications will be determined once the following have been provided:
 - a completed application form
 - payment of the relevant fee (by debit or credit card only)
 - A basic level Disclosure and Barring Service (DBS) certificate
 - Evidence of right to live and work in the United Kingdom
 - a criminal record check and/or a letter of good conduct from the Embassy or Consulate for the country of residence (if living outside the United Kingdom for a continuous period of three months or more since the age of 16)
 - Evidence of planning permission
 - Certificate of Public Liability Insurance (if offices are open to the public)

5.6.2 In cases where an individual applicant is already licensed as a driver, checks undertaken as part of that process can be relied upon.

5.7 Disclosure and Barring (DBS) Check

5.7.1 All persons applying for a private hire operator's licence (including all directors if a limited company) will be required to submit a Basic Disclosure on initial application.

5.8 Entitlement to work in the United Kingdom

- 5.8.1 All persons applying must submit a document or combination of documents to demonstrate their entitlement to live and work in the United Kingdom or an online Home Office right to work share code. We will only accept documents as approved by the Home Office in accordance with their 'Right to Work Checklist' available on the .GOV website
- 5.8.2 If the check shows the person has a right to remain indefinitely in the UK, the check will not normally need to be repeated. A licence will not be granted to an applicant who is not entitled to work in the UK.
- 5.8.3 For those applicants who have time-limited right to work permission, the check must be repeated at each subsequent application to renew or extend the licence until such time as the applicant demonstrates that they are entitled to remain indefinitely in the UK. A licence will only be granted for the duration of the validity of the visa or permission.

5.9 Overseas Criminal records Checks

- 5.9.1 If an applicant or current licence holder has spent three continuous months or more overseas since the age of 16, the Council will need to see evidence of a criminal record check from the country / countries lived in / visited covering the period that the applicant was overseas. This includes any time spent overseas during the course of a licence.
- 5.9.2 An original authenticated certificate of good conduct (together with a translation into English if the document is in another language) obtained from the embassy for each relevant country in which the applicant has lived, worked or visited for 3 months or more during the 5 years immediately prior to their arrival in the UK is required to be submitted. Any translation of the document must be from the embassy which issued the original document and must be validated by the corresponding embassy located in the UK.

5.10 Evidence of planning permission

- 5.10.1 The applicant must have obtained appropriate planning permission or a certificate of lawful use for the intended operating base from the relevant planning authority, and such evidence must be provided.
- 5.10.2 Evidence of planning permission will not be required for an individual operator working from home with no staff or visitors.

5.11 Certificate of Public Liability Insurance

5.11.1 If the intended operating base is open to the public, a valid certificate of public liability insurance shall be in place for the premises.

5.12 Private Hire Operator Conditions

- 5.12.1 A set of standard conditions for private hire operators' licences is set out at Appendix J.
- 5.12.2 The Council considers that it is reasonably necessary that these conditions be attached to the grant of operator licences, however, the sub-committee has discretion to modify and/or add to these standard conditions as may be considered reasonably necessary in any individual case.

5.13 Criminal Records Checks for Private Hire Vehicle Operator – Booking and Dispatch Staff

- 5.13.1 Although Operators and their staff have minimal if any direct contact with passengers, the Council must be assured that those granted Operator licences and their staff also pose no threat to the public and have no links to serious criminal activity. For example, an Operator base dispatcher decides which driver to send to a user, a position that could be exploited by those seeking to exploit children and vulnerable adults. The Council must be satisfied that these individuals (as well as drivers) are safe and suitable individuals to have access to such information and opportunity.
- 5.13.2 All private hire operator licences granted (or renewed) after this version of the policy is published, conditions will be attached requiring the licence holder to:
 - a) Maintain a live/rolling register of all booking and dispatch staff in their employment, the details being retained for six (6) months from the date of any booking and/ or dispatch, regardless of the individual's employment status;

- b) Produce upon demand the register of staff to an authorised Council officer and/or Police Officer;
- c) Provide evidence to the Council that they have had sight of a Standard DBS check every 6 months in relation to all individuals listed on their register of booking and dispatch staff;
- d) Provide to this Licensing Authority a written hard format copy of the Operator's Policy on employing ex-offenders;
- e) For each and every individual on the aforementioned register, for new employees at the time of their engagement, for existing employees at the time of creation of the register, confirm sight of their recently issued (less than 3 months old) Basic DBS Criminal Record Certificate, and that they are suitable to decide such matters as who is sent to transport an unaccompanied child or vulnerable adult;
- f) Require such employed staff, as part of their contract and terms of employment, to immediately to notify the operator of any convictions, warnings, cautions, or charges being faced of any sort.
- 5.13.3 The addition of these conditions will mean that operators must ensure that Basic DBS checks are conducted on any individuals added to the register and that this is compatible with their policy on employing ex-offenders.
- 5.13.4 Should the operator outsource their booking/dispatch functions to a third party, the responsibility remains with them regarding CSAE matters: In such situations, the operator must confirm that the third party has evidenced to them that it employs equally rigorous protections.
- 5.13.5 This Licensing Authority requires all private hire operator applicants and existing operators of private hire vehicles to provide checks of their own criminal conviction status. These are required upon initial application, and every six (6) months during the licensed period, and at subsequent renewals. Applicants and existing operators not already providing the Enhanced DBS Criminal Record check Certificate in their separate standing as a hackney carriage/private hire vehicle driver must provide a Standard DBS Criminal Record check Certificate which is no more than 3 months old: operators which are limited companies or partnerships must provide such certification for every director/partner of the company/partnership.
- 5.13.6 Further, should there be any change to the Criminal Record status of any individual operator, or director/partner of a company/partnership operator at any time during the licensed period, it is incumbent upon the operator immediately to notify the Licensing Authority within 48 hours. Dependent on the nature and/or circumstances of the matter, consideration may be given to suspension, revocation, or refusal to renew a licence.

5.14 Nominated Safeguarding Children Person

- 5.14.1 The Council expects any operator that employs staff to follow best practice and nominate themselves or a senior staff member of staff to have the following responsibilities as a minimum in relation to safeguarding children they are transporting:
 - · Arrange safeguarding children training for all staff;
 - Monitor and report on whether all staff have received the minimum (initial and refresher) safeguarding children training;
 - Ensure that all staff have read and know where to find the Government Guidance: "What To Do If You're Worried a Child is Being Abused":
 - Ensure that up-to-date information is prominently displayed at their operator base in the staff area showing contact information for any Children Safeguarding concerns (See Appendix M for a template).

5.15 Guide or assistance dogs

5.15.1 Private hire companies must not refuse a booking or refuse to carry out a booking due to someone having an assistance dog with them.

6 Disciplinary and Enforcement Measures – all licence holders

6.1 General

- 6.1.1 Licensing Officers will have regard to the Council's Environmental Health and Licensing Enforcement Policy when making enforcement decisions.
- 6.1.2 Disciplinary matters will ordinarily be referred to the Head of Community Services or dealt with by the Team Leader, Health, Safety and Licensing.
- 6.1.3 The Council may take any of the steps outlined below in respect of any of the licences it issues:
 - a) Prosecution:
 - b) Revocation of the licence:
 - c) Refusal to renew a licence;
 - d) Suspension of the licence;
 - e) Issue a simple caution;
 - f) Issue Penalty Points;
 - g) Issuing of warnings

6.2 Penalty Points Scheme

- 6.2.1 The Council has introduced a Penalty Points Scheme and Code of Conduct for proprietors, drivers, and operators. These are at appendices N and O.
- 6.2.2 The scheme has been developed to provide the Licensing (General) Sub-Committee and Licensing Officers with more options when dealing with breaches to licence conditions or when an offence has been committed. Under the legislation, the only options available to the Sub-Committee are to issue a warning, suspend or revoke a licence, while Officers can either prosecute or refer the licence holder to the Sub-Committee. The points scheme allows Licensing Officers and Members of the Sub-Committee to attach points, thus bridging the gap between doing nothing and suspension or revocation.
- 6.2.3 The aim of the penalty point scheme is to work in conjunction with other enforcement options. It does not prejudice the council's ability to take other actions.
- 6.2.4 The primary objective of the penalty points scheme is to improve the levels of compliance and help improve the standards, safety, and protection of the travelling public.

Appendix A Hackney Carriage Byelaws



BYELAWS WITH RESPECT OF HACKNEY CARRIAGES

Made under section 68 of the Town Police Clauses Act 1847, and section 171 of the Public Health Act 1875 by the Borough Council of Epsom and Ewell with respect to hackney carriages in the Borough of Epsom and Ewell.

INTERPRETATION

1. Throughout these byelaws 'the Council' means the Council of the Borough of Epsom and Ewell and 'the district' means the area of the Borough of Epsom and Ewell.

PROVISIONS REGULATING THE MANNER IN WHICH THE NUMBER OF EACH HACKNEY CARRIAGE CORRESPONDING WITH THE NUMBER OF ITS LICENCE, SHALL BE DISPLAYED.

- (a) The proprietor of a hackney carriage shall cause the number of the licence granted to him in respect of the carriage to be legibly painted or marked on the outside and inside of the carriage, or on plates affixed thereto.
 - (b) A proprietor or driver of a hackney carriage shall:
 - i not wilfully or negligently cause or suffer any such number to be concealed from public view while the carriage is standing or plying for hire:
 - ii not cause or permit the carriage to stand or ply for hire with any such painting, marking or plate so defaced that any figure or material particular is illegible.

PROVISIONS REGULATING HOW HACKNEY CARRIAGES ARE TO BE FURNISHED OR PROVIDED.

- 3. The proprietor of a hackney carriage shall:-
 - (a) provide sufficient means by which any person in the carriage may communicate with the driver:
 - (b) cause the roof or covering to be kept watertight;

- (c) provide any necessary windows and a means of opening and closing not less than one window on each side:
- (d) cause the seats to be properly cushioned or covered;
- (e) cause the floor to be provided with a proper carpet, mat or other suitable covering;
- (f) cause the fittings and furniture generally to be kept in a clean condition, well maintained and in every way fit for public service;
- (g) provide means for securing luggage if the carriage is so constructed as to carry luggage;
- (h) provide an efficient fire extinguisher which shall be carried in such a position as to be readily available for use; and
- (i) provide at least two doors for the use of persons conveyed in such carriage and a separate means of ingress and egress for the driver.
- 4. The proprietor of a hackney carriage shall cause the same to be provided with a taximeter so constructed, attached and maintained as to comply with the following requirements, that is to say:-
 - (a) the taximeter shall be fitted with a key, flag or other device the turning of which will bring the machinery of the taximeter into action and cause the word 'HIRED' to appear on the face of the taximeter;
 - (b) such key, flag or other device shall be capable of being locked in such a position that the machinery of the taximeter is not in action and that no fare is recorded on the face of the taximeter;
 - (c) when the machinery of the taximeter is in action there shall be recorded on the face of the taximeter in clearly legible figures a fare not exceeding the rate or fare which the proprietor or driver is entitled to demand and take for the hire of the carriage by distance and time in pursuance of the tariff fixed by the Council;
 - (d) the word 'FARE' shall be printed on the face of the taximeter in plain letters so as clearly to apply to the fare recorded thereon;
 - (e) the taximeter shall be so placed that all letters and figures on the face thereof are at all times plainly visible to any person being conveyed in the carriage, and for that purpose the letters and figures shall be capable of being suitably illuminated during any period of hiring; and
 - (f) the taximeter and all the fittings thereof shall be so affixed to the carriage with seals or other appliances that it shall not be practicable for any person

to tamper with them except by breaking, damaging or permanently displacing the seals or other appliances.

PROVISIONS REGULATING THE CONDUCT OF THE PROPRIETORS AND DRIVERS OF HACKNEY CARRIAGES PLYING WITHIN THE DISTRICT IN THEIR SEVERAL EMPLOYMENTS, AND DETERMINING WHETHER SUCH DRIVERS SHALL WEAR ANY AND WHAT BADGES.

- 5. The driver of a hackney carriage provided with a taximeter shall:-
 - (a) when standing or plying for hire, keep the key, flag or other device fitted in pursuance of the byelaw in that behalf locked in the position in which no fare is recorded on the face of the taximeter;
 - (b) before beginning a journey for which a fare is charged for distance and time, bring the machinery of the taximeter into action by moving the said key, flag or other device, so that the word 'HIRED' is legible on the face of the taximeter and keep the machinery of the taximeter in action until the termination of the hiring; and
 - (c) cause the dial of the taximeter to be kept properly illuminated throughout any part of a hiring which is between half an hour after sunset and half an hour before sunrise, and also at any other time at the request of the hirer.
- 6. A proprietor or driver of a hackney carriage shall not tamper with or permit any person to tamper with any taximeter with which the carriage is provided, with the fittings thereof, or with the seals affixed thereto.
- 7. The driver of a hackney carriage shall, when plying for hire in any street and not actually hired:-
 - (a) proceed with reasonable speed to one of the stands appointed by the Council;
 - (b) if a stand, at the time of his arrival, is occupied by the full number of carriages authorised to occupy it, proceed to another stand;
 - (c) on arriving at a stand not already occupied by the full number of carriages authorised to occupy it, station the carriage immediately behind the carriage or carriages on the stand and so as to face in the same direction; and
 - (d) from time to time when any other carriage immediately in front is driven off or moved forward cause his carriage to be moved forward so as to fill the place previously occupied by the carriage driven off or moved forward.

- 8. A proprietor or driver of a hackney carriage, when standing or plying for hire, shall not make use of the services or any other person for the purpose of importuning any person to hire such carriage.
- 9. The driver of a hackney carriage shall behave in a civil and orderly manner and shall take all reasonable precautions to ensure the safety of persons conveyed in or entering or alighting from the vehicle.
- 10. The proprietor or driver of a hackney carriage who has agreed or has been hired to be in attendance with the carriage at an appointed time and place shall, unless delayed or prevented by some sufficient cause, punctually attend with such carriage at such appointed time and place.
- 11. A proprietor or driver of a hackney carriage shall not convey or permit to be conveyed in such carriage any greater number of persons than the number of persons specified on the plate affixed to the outside of the carriage.
- 12. If a badge has been provided by the Council and delivered to the driver of a hackney carriage he shall, when standing or plying for hire, and when hired, wear that badge in such position and manner as to be plainly visible.
- 13. The driver of a hackney carriage so constructed as to carry luggage shall, when requested by any person hiring or seeking to hire the carriage:-
 - (a) convey a reasonable quantity of luggage;
 - (b) afford reasonable assistance in loading and unloading; and
 - (c) afford reasonable assistance in removing it to or from the entrance of any building, station or place at which he may take up or set down such person.

PROVISIONS FIXING THE RATES OR FARES TO BE PAID FOR HACKNEY CARRIAGES WITHIN THE DISTRICT, AND SECURING THE DUE PUBLICATION OF SUCH FARES.

- 14. The proprietor or driver of a hackney carriage shall be entitled to demand and take for hire of the carriage the rate or fare prescribed by the Council, the rate or fare being calculated by distance and time unless the hirer express at the commencement of the hiring his desire to engage by time.
 - Provided always that where a hackney carriage furnished with a taximeter shall be hired by distance and time the proprietor or driver thereof shall not be entitled to demand and take a fare greater than that recorded on the face of the taximeter, save for any extra charges authorised by the Council which it may not be possible to record on the face of the taximeter.
- 15. (a) The proprietor of a hackney carriage shall cause a statement of the fares fixed by Council Resolution to be exhibited inside the carriage, in clearly distinguishable letters and figures.

(b) The proprietor or driver of a hackney carriage bearing a statement of fares in accordance with this byelaw shall not wilfully or negligently cause or suffer the letters or figures in the statement to be concealed or rendered illegible at any time while the carriage is plying or being used for hire.

PROVISIONS SECURING THE SAFE CUSTODY AND RE-DELIVERY OF ANY PROPERTY ACCIDENTALLY LEFT IN HACKNEY CARRIAGES, AND FIXING THE CHARGES TO BE MADE IN RESPECT THEREOF.

- 16. The proprietor or driver of a hackney carriage shall immediately after the termination of any hiring or as soon as practicable thereafter carefully search the carriage for any property which may have been accidentally left therein.
- 17. The proprietor or driver of a hackney carriage shall, if any property accidentally left therein by any person who may have been conveyed in the carriage be found by or handed to him:-
 - (a) carry it as soon as possible and in any event within 48 hours, if not sooner claimed by or on behalf of its owner, to the office of the Council and leave it in the custody of the officer in charge of the office on his giving receipt for it; and
 - (b) be entitled to receive from any person to whom the property shall be redelivered an amount equal to five pence in the pound of its estimated value (or the fare for the distance from the place of finding to the office of the Council, whichever be the greater) but not more than five pounds.

PENALTIES

18. Every person who shall offend against any of these byelaws shall be liable on summary conviction to a fine not exceeding level 2 on the standard scale and in the case of a continuing offence to a further fine not exceeding two pounds for each day during which the offence continues after conviction therefor.

Appendix B Policy regarding the relevance of convictions and other related information

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- 1 Introduction
- 2 General Policy
- 3 Powers
- 5 Options when determining an application/licence
- 6. Offences involving violence
- 7. Offences involving a weapon (not a firearm)
- 8. Sexual and indecency offences
- 9. Dishonesty
- 10. Alcohol and Drugs
- 11. Driving offences involving the loss of life
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- 14. People barred from working with children and vulnerable adults
- 15. Non-conviction information
- 16. Court sanctions
- 17. Licensing offences
- 18. Insurance offences
- 19. Applicants with periods of residency outside the UK
- 20. Summary

1 Introduction

- 1.1 This policy sets out the criteria to be taken into account by Epsom & Ewell Borough Council (referred to as the Council) when determining whether or not an applicant or an existing licence holder is a fit and proper person to be granted, have renewed or continue to hold a Hackney Carriage and/or Private Hire Driver Licence. In addition to criminal convictions, the Council will also take into account other factors such as demeanour, attitude, general character, non-criminal behaviour and police or other relevant records or information from a reliable source.
- 1.2 Whilst this policy primarily applies to the determination of driver licences, where a conviction (as defined below) is considered relevant to the fitness and propriety/suitability of an individual to hold or be granted a Private Hire Operator Licence or vehicle licence, then this policy must be referred to in the determination of that licence/application.
- 1.3 The Council is mindful that each case must be considered on its merits and where exceptional circumstances demand, the Council may depart from this policy.

exceptional oriodinictances domaina, the estation may depart from this policy.
1.4 This policy provides guidance to any person with an interest in taxi and private hire licensing. In particular, but not exclusively:
□ Applicants for a drivers', vehicle or private hire operator licence
□ Existing licensees whose licences are being reviewed or renewed
□ Licensing officers
□ Members of the licensing committee/Panel
□ Magistrates and Judges hearing appeals against local authority decisions
1.5 For renewal applications and current licence holders the policy will not be applied retrospectively. However, the policy will be applied if any additional convictions are incurred or brought to the attention of the Council that would call into question a person's suitability to hold a licence.
1.6 It is the responsibility of the Council to issue Hackney Carriage and Private Hire licences under the Local Government (Miscellaneous Provisions) Act 1976, the Town Police Clauses Act 1847 and the Hackney Carriage Byelaws. In exercising this duty, the Council's primary consideration must be the need to ensure the safety of the public. Licences cannot be issued unless the person is considered to be 'fit and proper'.
1.7 In seeking to safeguard the safety of the public. The Council will be concerned to ensure:
☐ That a person/applicant is fit and proper in accordance with Sections 51, 55 and 59 of

the Local Government (Miscellaneous Provisions) Act 1976 (Part II)

☐ That the person does not pose a threat to the public

☐ That the public are safeguarded from dishonest persons
☐ The safety of children, young persons and vulnerable adults
1.8 There is no legal definition of the term 'Fit and Proper' and accordingly the test tends to be based on the following:
'Would you (as a member of the licensing committee or other person charged with the ability to a grant a hackney carriage driver's licence) allow your son or daughter, spouse or partner, mother or father, grandson or granddaughter or any other person for whom you care, to get in to a vehicle with this person alone?'
If the answer to this question (or a similar test) is an unqualified 'Yes' then the test is probably satisfied. If there are any doubts in the minds of those who make the decision, then further consideration should be given as to whether this person is a fit and proper person to hold a hackney carriage driver's licence.
1.9 The Council will undertake whatever checks it considers necessary to ensure that licences are not issued to unsuitable people. In assessing the suitability of an applicant or licence holder, the Council will take into consideration the following factors:
□ Criminality
□ Number of endorsed DVLA driving licence penalty points
☐ The conduct of the applicant in making the application (e.g. whether they have acted with integrity during the application process).
□ The previous licensing history of existing / former licence holders. In addition, the Council will also consider further information from sources such as, but not limited to, the Police (including abduction notices), Children and Adult Safeguarding Boards, Multi Agency Safeguarding Hub, Social Services, other licensing authorities, other departments within the Council, and statutory agencies.
1.10 In this policy, the word "applicant" refers to either new applicants, existing licence holders who are seeking renewal and existing licence holders who are being considered by the Council by virtue of offending activity having recently come to light.
1.11 In this policy, the word "conviction" is to be defined as including convictions, cautions, warnings and reprimands.

2 General Policy

2.1. Whilst the Council may consider that an applicant with a conviction for a serious offence may not need to be automatically barred from obtaining a licence, it is however to be normally expected that the applicant would be required to:

1.12 The Council reserves the right to overturn or annul a decision that has previously

been made, or refuse a renewal of a licence, where clear errors are discovered.

- a. Remain free of conviction for an appropriate period as detailed below; and
- b. Show adequate evidence that they are a fit and proper person to hold a licence (the onus will be on the applicant to produce such evidence). Simply remaining free of conviction may not generally be regarded as adequate evidence that an applicant is a fit and proper person to hold a licence.
- 2.2. The standards and criteria set out in paragraphs 4 to 18 below are those that would normally be applied to applications and licences. The Council may depart from these criteria, however it will only do so in exceptional circumstances. The otherwise good driving record of the applicant or licence holder will not ordinarily be considered as exceptional circumstances.

3 Powers

- 3.1. Section 61 of the Local Government Miscellaneous Provisions Act 1976 allow the Council to suspend, revoke or refuse to renew a licence if the application/licence holder has been convicted of an offence involving dishonesty, indecency, violence, of failure to comply with the provisions of the Town Police Clauses Act 1847; or of failure to comply with the provisions of Part II of the Local Government (Miscellaneous Provisions) Act 1976, or if he/she has since the grant of the licence been convicted of an immigration offence or required to pay an immigration penalty, or for any other reasonable cause.
- 3.2. The Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975, allows the Council to take into account all convictions recorded against an applicant or the holder of a Private Hire or Hackney Carriage driver's licence, whether spent or not. Therefore, the Council will have regard to all relevant convictions, particularly where there is a long history of offending or a recent pattern of repeat offending. Applicants need to be aware that, in accordance with this Act, all convictions, cautions, warnings and reprimands must be declared.

4 Consideration of disclosed criminal history

4.1. Under the provisions of Sections 51, 55 and 59, Local Government (Miscellaneous Provisions) Act 1976, the Council is required to ensure that an applicant for the grant or renewal of a Hackney Carriage and/or a Private Hire Operator or Vehicle driver's licence is a "fit and proper" person to hold such a licence. However, if an applicant has any convictions or charges awaiting trial, the Council will look at:
☐ How relevant the offence(s) are to the licence being applied for
☐ How serious the offence(s) were
☐ When the offence(s) were committed
☐ The date of the conviction
□ Circumstances of the individual concerned

☐ Any sentence imposed by the court
☐ The applicant's age at the time of offence/incident leading to the conviction
□ Whether they form part of a pattern of offending
☐ The applicant's attitude
$\hfill \square$ Any other character check considered reasonable (e.g. personal references if requested by the Council)
□ Any other factors that might be relevant, for example:

- The previous conduct of an existing or former licence holder,
- Whether the applicant has intentionally misled the Council or lied as part of the application process,
- Information provided by other agencies/Council departments.

Where an applicant has been convicted of a criminal offence, the licensing authority cannot review the merits of the conviction [Nottingham City Council v. Mohammed Farooq (1998)].

- 4.2. In this policy 'completion of any sentence' is taken to be the date, which is reached once the whole of the period as sentenced by the court has elapsed. For example, if a sentence is 5 years imprisonment then the date that the sentence ends will be 5 years from the date of sentencing (although time served will be taken into account). If the sentence is amended by a court at a later date then this new sentence becomes relevant for the purposes of this policy.
- 4.3. Licence holders are required to notify the issuing authority within 48 hours of an arrest and release, charge or conviction of any sexual offence, any offence involving dishonesty or violence and any motoring offence (including fixed penalty notice. To fail to do so will raise serious questions for the Council as to the honesty of the licence holder and will be taken into account as part of any subsequent renewal applications.
- 4.4. It is an offence for any person knowingly or recklessly to make a false declaration or to omit any material particular in giving information required by the application for a licence (section 57 Local Government (Miscellaneous Provisions) Act 1976). Where an applicant has made a false statement or a false declaration on their application for the grant or renewal of a licence, the licence will normally be refused.
- 4.5. Any offences or behaviour not expressly covered by this policy may still be taken into account.

5 Options when determining an application/licence

5.1. When determining an application or reviewing an existing licence in relation to convictions or other relevant information the Council have the following options:
☐ grant the licence or take no further action
☐ grant the licence with additional conditions
□ refuse, revoke, or suspend the licence
□ issue a warning which may include the use of penalty points
5.2. If a licence holder's conduct is such that, were they to be applying for a new licence their application would normally be refused, they should expect consideration to be given as to the suspension or revocation of their licence.
6 Offences involving violence
6.1. Licensed drivers have close regular contact with the public. A licence will not normally be granted if the applicant has a conviction for an offence that involved the loss of life such as:
□ Murder
□ Manslaughter
☐ Manslaughter or culpable homicide while driving
□ Terrorism offences
$\hfill \square$ Any offences (including attempted or conspiracy to commit offences) that are similar to those above.
6.2. A licence will not normally be granted until at least 10 years have passed since the completion of any sentence following conviction for an offence shown below:
□ Arson
☐ Malicious wounding or grievous bodily harm which is racially aggravated
□ Actual bodily harm
☐ Assault occasioning actual bodily harm
□ Grievous bodily harm
□ Robbery
□ Riot
□ Assault Police

□ Violent disorder
□ Common assault
□ Resisting arrest
☐ Any racially-aggravated offence against a person or property
□ Affray
□ Any offence that may be categorised as domestic violence
$\hfill \Box$ Harassment, alarm or distress, intentional harassment or fear of provocation of violence
☐ Any offence (including attempted or conspiracy to commit offences) similar to those above.
6.3. A licence will not normally be granted until at least 5 years have passed since the completion of any sentence following conviction for an offence shown below:
□ Obstruction
□ Criminal damage
$\hfill \Box$ Any offences (including attempted or conspiracy to commit offences) that are similar to those above.
6.4. A licence will not normally be granted if an applicant has more than one conviction

7 Offences involving a weapon

for an offence of a violent nature.

- 7.1. If an applicant has been convicted of possession of a weapon or any other weapon related offence, this will give serious concern as to whether the person is fit to carry the public.
- 7.2. Depending on the circumstances of the offence, an applicant should be free of conviction for 7 years (or at least 7 years must have passed since the completion of any sentence, whichever is longer), before a licence is granted.
- 7.3. A licence will not normally be granted if an applicant has more than one conviction for an offence involving a weapon.

8 Sexual and indecency offences

8.1. All sexual and indecency offences will be considered as serious. Where an applicant has a conviction for any offence involving or connected with illegal sexual activity, a licence will not be granted. Such offences include:
□ Rape
□ Assault by penetration
□ Offences involving children or vulnerable adults
$\hfill\Box$ Trafficking, sexual abuse against children and / or vulnerable adults and preparatory offences (as defined within the Sexual Offences Act 2003).
☐ Making or distributing obscene material
□ Possession of indecent photographs depicting child pornography.
□ Sexual assault
□ Indecent assault
☐ Exploitation of prostitution
□ Soliciting (kerb crawling)
$\hfill \square$ Any sex or indecency offence that was committed in the course of employment as a taxi or PHV driver
☐ Making obscene / indecent telephone calls
□ Indecent exposure
$\hfill \square$ Any similar offences (including attempted or conspiracy to commit) or offences which replace the above
8.2. In addition to the above, the Council will not grant a licence to any applicant who is currently on the Sex Offenders Register or on any barred list. If an applicant has ever been on the Sex Offenders Register or similar register or on any barred list then they will not normally be granted a licence.
9 Dishonesty
9.1. A serious view is taken of any conviction involving dishonesty.
9.2. Normally a minimum period of 7 years free of conviction or at least 7 years since the completion of any sentence (whichever is longer) is required before a licence is granted. Offences involving dishonesty include:
□ Theft

□ Burglary
□ Fraud
□ Benefit fraud
□ Handling or receiving stolen goods
□ Forgery
□ Conspiracy to defraud
□ False representation
□ Obtaining money or property by deception
□ Other deception
□ Taking a vehicle without consent
□ Fare overcharging
□ Or any similar offences (including attempted or conspiracy to commit) or offences which replace the above
0.2. A licence will not normally be greated if an applicant has more than one conviction

- 9.3. A licence will not normally be granted if an applicant has more than one conviction for a dishonesty offence.
- 9.4. Applicants or existing licence holders that are found to have intentionally misled the Council, or lied as part of the application process, will normally be rejected or refused.

10 Alcohol and Drugs

- 10.1. A serious view is taken of convictions for driving or being in charge of a vehicle while under the influence of drink or drugs or where the driver has been found to be under the influence of psychoactive substances.
- 10.2. At least 7 years, after the restoration of the DVLA driving licence following a drink drive or driving when under the influence of drugs conviction should elapse before an application will be granted. In the case of driving under the influence of drugs, any applicant may also have to undergo drugs testing at their own expense to demonstrate that they are not using controlled drugs.
- 10.3. Where an applicant has a conviction for possession of drugs, or related to the possession of drugs, a licence will not be granted until at least five years have elapsed since the completion of any sentence imposed. In these circumstances, any applicant may also have to undergo drugs testing for a period at their own expense to demonstrate that they are not using controlled drugs.
- 10.4. Where an applicant has any conviction for, or related to, the supply of drugs, or possession with intent to supply or connected with possession with intent to supply, a

licence will not be granted until at least 10 years have elapsed since the completion of any sentence imposed.

- 10.5. If there is evidence of persistent drugs or alcohol use, misuse or dependency a specialist medical examination (in accordance with DVLA Group 2 medical standards) and a satisfactory medical report may be required before the licence is granted. If the applicant was an addict (drug or alcohol) then they would normally be required to show evidence of 8 years free from drug taking after detoxification treatment.
- 10.6. A licence will not normally be granted where the applicant has a conviction for drunkenness offences, not involving a motor vehicle, for a period of 1 year after a single conviction. If the applicant has more than two convictions within two years of each then a period of 2 years will need to have elapsed.

11 Driving offences involving the loss of life

- 11.1. A very serious view is to be taken of any applicant who has been convicted of a driving offence that resulted in the loss of life.
- 11.2. A licence will not normally be granted if an applicant has a conviction for:
 Causing death by dangerous driving
 Causing death by careless driving whilst under the influence of drink or drugs
 Causing death by careless driving
 Causing death by driving: unlicensed, disqualified or uninsured drivers
 Or any similar offences (including attempted or conspiracy to commit) offences which replace the above

12 Other traffic offences

- 12.1. Traffic offences such as driving without due care and attention, reckless driving, more serious speeding offences (usually dealt with by a court), or offences of a similar nature will give rise to serious doubts about the applicant's suitability to be a driving professional. An applicant with any such convictions will be required to show a period of at least 1 year free of such convictions. For applicants with more than one offence this should normally be increased to 2 years.
- 12.2. Where an applicant has a conviction for using a held-hand mobile telephone or a hand- held device whilst driving, a licence will not be granted until at least five years have elapsed since the conviction or completion of any sentence or driving ban imposed, whichever is the later.
- 12.3. At least 3 years should elapse after the restoration of the DVLA driving licence, before a licence would be granted for a Hackney Carriage or Private Hire drivers licence.

- 12.4. Hackney carriage and private hire drivers are professional drivers charged with the responsibility of carrying the public. It is accepted that offences can be committed unintentionally, and a single occurrence of a minor traffic offence would not prohibit the granting of a licence. However, applicants with multiple motoring convictions may indicate that an applicant does not exhibit the behaviours of a safe road user and one that is suitable to drive professionally.
- 12.5. Any motoring conviction while a licensed driver demonstrates that the licensee may not take their professional responsibilities seriously. However, it is accepted that offences can be committed unintentionally, and a single occurrence of a minor traffic offence may not necessitate the revocation of a taxi or private hire vehicle driver licence providing the authority considers that the licensee remains a fit and proper person to retain a licence. However, with existing licensees they may be subject to separate consideration as part of the Penalty Points scheme.
- 12.6. In cases where the courts have imposed a disqualification in respect of the DVLA driving licence, the periods stated in paragraph 12.1 above should normally commence from the date of the restoration of the licence.
- 12.7. In this policy, the term "disqualification" refers to the period served, in order to take account of the fact that a court may reduce the period of disqualification from driving. An applicant must provide evidence in advance to prove that the court agreed a reduction in the period of disqualification.
- 12.8. In "totting up" cases where disqualification is considered by the court, even if the court does not disqualify (e.g. because of exceptional circumstances) a driver, the licensing authority is likely to refuse a Hackney Carriage or Private Hire drivers licence because different criteria apply. An applicant will normally be expected to show a period of 1 year free from conviction from the date the court made its finding of exceptional circumstances justifying the non-disqualification.

13 Discrimination

13.1. Where an applicant has a conviction involving or connected with discrimination in any form, a licence will not be granted until at least seven years have elapsed since the completion of any sentence imposed.

14 Exploitation

14.1 Where an applicant or licensee has been convicted of a crime involving, related to, or has any connection with abuse, exploitation, use or treatment of another individual irrespective of whether the victim or victims were adults or children, they will not be licensed. This includes slavery, child sexual abuse, exploitation, grooming, psychological, emotional, or financial abuse, but this is not an exhaustive list.

15 Outstanding charges or summonses

15.1. If the individual is the subject of an outstanding charge or summons their application can continue to be processed, but the application will need to be reviewed at the conclusion of proceedings.

15.2. If the outstanding charge or summons involves a serious offence and the individual's conviction history indicates a possible pattern of unlawful behaviour or character trait, then in the interests of public safety the application may be put on hold until proceedings are concluded or the licence may be refused.

16 People barred from working with children and vulnerable adults

16.1. A serious view is to be taken of any applicant or licence holder who has been barred from working with children or vulnerable adults. A licence will not be granted if an applicant is currently on either of the two lists barring people from working with children and/or vulnerable adults. A licence will not be normally be granted if an applicant has been found unfit to work with children or vulnerable adults.

17 Non-conviction information

- 17.1. The Council will take into account intelligence which has not led to a conviction. This may include but not be limited to information regarding acquittals, circumstances in which convictions were quashed due to misdirection to the jury, circumstances where the decision was taken not to prosecute, situations where the person has been arrested and bailed but not yet charged, civil penalties and complaints from the public. The Council will also take into account information received from Children and Adult Safeguarding Boards, Multi Agency Safeguarding Hub, Social Services, other licensing authorities, other departments within the Council and statutory agencies
- 17.2. In considering the most appropriate action to take following the receipt of information, the credibility of both the witness/complainant and the licence holder will be taken into account.
- 17.3. If an applicant has been arrested or charged, but not convicted, for a serious offence which suggests he could be a danger to the public, consideration should be given to refusing the application or revoking an existing licence.
- 17.4. In assessing the action to take, public safety will be the paramount concern. Decisions will be taken on balance of probability.

18 Court sanctions

- 18.1. A licence will not normally be granted until at least 1 year has elapsed since the expiry of a Criminal Behaviour Order, Restraining Order, Domestic Violence Protection Order, Non-Molestation Order, Injunction or any other such order.
- 18.2. If the applicant has had any findings made against them in a civil court then careful consideration will be given as to the relevance of such findings to the application. An applicant who has been found to have committed sexual abuse or serious physical abuse would not normally be granted a licence.

19 Licensing offences

19.1. Offences under taxi and private hire and associated legislation such as plying for hire without a licence, overcharging and refusing to carry disabled persons, will prevent a licence being granted or renewed until a period of 3 years has passed since conviction.

20 Insurance offences

- 20.1. A serious view will be taken of convictions for driving or being in charge of a vehicle without insurance or allowing person to drive whilst uninsured. A previous isolated incident will not necessarily stop a licence being granted provided an individual has been free of conviction for 3 years. However a strict warning should be given as to future behaviour. More than one conviction for these offences will prevent a licence being granted or renewed.
- 20.2. An operator found guilty of aiding and abetting the driving of passengers for hire and reward whilst without insurance will have his Operator's Licence reviewed with a view to revocation and will not be permitted to hold a licence for a period of at least 3 years from the date of any revocation.

21 Applicants with periods of residency outside the UK

- 21.1. Because of the potential lifetime relevance for some of the most serious offences mentioned in this policy, the Council will need to ensure that sufficient background checks are conducted for those applicants who have lived overseas. For EU nationals a disclosure that is similar to the UK DBS will be required, for those countries for which checks are not available, the Council will require a certificate of good conduct authenticated by the relevant embassy.
- 21.2. If an applicant has spent three continuous months or more overseas (since the age of 16) the Council will expect to see evidence of a criminal record check from the country / countries visited covering the period.

22 Summary

- 22.1. Where a specific offence is not mentioned, a suitable period of time will be determined by reference to offences described. The Council reserves the right to refuse a licence where there are aggravating circumstances, even where these guidelines would otherwise indicate that a licence might be granted.
- 22.2. Whilst a criminal history in itself may not automatically result in refusal and a current conviction for a serious crime need not bar an applicant permanently from becoming licensed, in most cases, an applicant would be expected to have remained free from conviction for 3 to 10 years (detailed above), before an application is likely to be successful. If there is any doubt about the suitability of an individual to be licensed, the Council will be mindful of the need to protect the public and caution will be exercised.

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22.3. While it is possible that an applicant may have a number of convictions that, individually, do not prevent a licence from being granted, the overall offending history will be considered when assessing an applicant's suitability to be licensed. A series of offences over a period of time is more likely to give cause for concern than an isolated minor conviction. Some discretion may be afforded if an offence is isolated and there are mitigating circumstances, but the overriding consideration is the protection of the public.

Appendix C Private Hire Driver Licence Conditions

Local Government (Miscellaneous Provisions) Act 1976

Conduct of Driver

- 1. The driver shall:
 - a) afford all reasonable assistance with passengers' luggage;
 - b) at all times be clean and respectable in his/her dress and person and behave in a civil and orderly manner;
 - c) take all reasonable steps to ensure the safety of passengers conveyed in, entering or alighting from the vehicle driven by him;
 - d) not smoke (this includes E-Cigarettes and Vaping) in the vehicle
 - e) not without the express consent of the hirer, drink or eat in the vehicle;
 - f) not without the express consent of the hirer play any radio or sound reproducing instrument or equipment in the vehicle other than for the purpose of sending or receiving messages in connection with the operation of the vehicle:
 - g) at no time cause or permit the noise emitted by any radio or other previously mentioned equipment in the vehicle which he/she is driving to be a source of nuisance or annoyance to any person, whether inside or outside the vehicle;
 - h) not unnecessarily prolong any journey.

Passengers

2. The driver shall not convey or permit to be conveyed in a private hire vehicle a greater number of persons than that prescribed in the licence for the vehicle. The driver shall not without the consent of the hirer of a vehicle convey or permit to be conveyed any other person in that vehicle.

Lost Property

3. The driver shall immediately after the termination of any hiring of a private hire vehicle or as soon as practicable thereafter carefully search the vehicle for any property which may have been accidentally left there.

Written Receipts

4. The driver shall if requested by the hirer of a private hire vehicle provide him with a written receipt for the fare paid.

Animals

5. The driver shall not convey in a private hire vehicle any animal belonging to or in the custody of himself/herself or the proprietor or operator of the vehicle. Any animal belonging to or in the custody of any passenger, which at the driver's discretion may be conveyed safely in the vehicle, shall only be conveyed in the rear of the vehicle. The driver must not fail or refuse to carry out a booking by or on behalf of a person with disabilities who is accompanied by an 'assistance dog' unless the driver has a Medical Exemption granted by the Licensing Authority and is displaying the Exemption Certificate in the approved manner or in the vehicle.

Prompt Attendance

6. The driver of a private hire vehicle shall, if he/she is aware that the vehicle has been hired to be in attendance at an appointed time and place or has otherwise been instructed by the operator or proprietor of the vehicle to be in attendance at an appointed time and place, punctually attend at that appointed time and place, unless delayed or prevented by sufficient cause.

Deposit of Licence

7. If the driver is permitted or employed to drive a private hire vehicle of which the proprietor is someone other than himself/herself, he/she shall before commencing to drive that vehicle, deposit this licence with that proprietor for retention by him/her until such time as the driver ceases to be permitted or employed to drive the vehicle or any other vehicle of his/hers.

Taximeter

8. If a private hire vehicle being driven by the driver is fitted with a taximeter, the driver shall not cause the fare recorded thereon to be cancelled or concealed until the hirer has had a reasonable opportunity of examining it and has paid the fare (unless credit is to be given).

Fare to be demanded

9. The driver shall not demand from any hirer of a private hire vehicle a fare in excess of any previously agreed for that hiring between the hirer and the operator or, if the vehicle is fitted with a taximeter and there has been no previous agreement as to the fare, the fare shown on the face of the taximeter.

Change of Contact Details

- 10. The licence holder must notify the Council in writing within 7 days of any change of his/her contact details taking place during the period of the licence, whether permanent or temporary. The driver's contact details include:
 - Name
 - Address
 - Telephone number
 - Mobile phone number
 - Email address

Change Of Operator

11. The licence holder must notify the Council in writing within 7 days of any change of operator through whom they work. This includes occasions where a driver joins or leaves the employment of an operator.

Convictions

12. The licence holders shall notify the council within 48 hours of an arrest and release, charge or conviction of any sexual offence, any offence involving dishonesty or violence and any motoring offence (including penalty points).

Driver Badge

- 13. a. The licence holder must always when driving a licensed vehicle for hire or reward, wear the driver's badge issued by the Council on a prominent visible place on the outer clothing.
- b. The driver's badge and identification card remain the property of the Council. Upon expiry of the licence and badge (whether application to renew has been made or not) the badge and card must be returned to the Council within 7 days of expiry or other such time as the Council may specify.
- c. The badge and card must be returned to the Council immediately on demand if the licence is suspended or revoked.

Disclosure And Barring Service Online Update Service

- a. All licence holders must subscribe to the Disclosure and Barring Service Online Update Service. Any costs associated with maintaining this subscription must be met by the licence holder.
- b. The licence holder must give permission for the council to undertake checks of their DBS status should the council consider it necessary to do so. The council will use the update service to monitor the criminal record of licence holders.

Medical Condition(S)

- a. The licence holder must notify the Council in writing within 48 hours of any change in medical condition that may affect their driving.
 - b. The licence holder must at such time as the Council reasonably requires, produce a certificate in the form prescribed by the Council signed by a registered medical practitioner to the effect that he/she is or continues to be physically fit to be a driver of a private hire vehicle.

Time Spent Overseas

- a. The licence holder must notify the Council in writing within 7 days of their return if they have spent three of more continuous months out of the UK. Such notification must include the list of countries visited and relevant dates.
- b. The licence holder must provide a Certificate of Good Conduct for any countries visited/lived in for three or more continuous months upon their return to the UK.

ADDITIONAL CONDITIONS THAT APPLY TO 'SCHOOL RUN ONLY PRIVATE HIRE VEHICLE DRIVER' LICENCES:

17. Unless specified otherwise in writing by the council, a School Run only Private Hire Driver Licence can only be used for carrying out contracted school runs through an Epsom And Ewell licensed private hire operator accepting the booking from a local education authority.

Appendix D Hackney Carriage and Private Hire Vehicle Specifications

Requirements for all licensed vehicles

General: The vehicle and its fittings must, when being presented for inspection and at all times during when it is licensed and available for hire:

- (a) Be safe
- (b) Comfortable
- (c) Odour free
- (b) Tidy
- (c) Clean

Age/Emissions: the vehicle meets the Council's Age/Emissions Policy

Original specification: vehicle should be of manufacturers' original specification

Appearance: The vehicle must be maintained throughout the term of the vehicle licence to a high standard of appearance to the complete satisfaction of the Council.

Damage free: All paintwork will be professionally finished and the vehicle free from dents and damage to either bodywork or fittings. Bare metal, primer paint, visible rust and perforations will not be permitted.

Salvaged or Insurance Write-Off Vehicles: 'Salvaged' or 'insurance write-off' vehicles, apart from category N, will not be accepted by the Council for licensing purposes. Category N write offs will require a new M.O.T. Certificate dated after the write-off

Number of passengers: Constructed and designed for the Carriage of not more than 8 passengers. The passenger carrying capacity will be at the discretion of the Council however shall usually be such for the carriage of not less than 4 and not more than 8 passengers. All vehicles must be able to seat all passengers in comfort with sufficient legroom for all passengers.

Communication with the driver: The vehicle has sufficient means by which any person in the vehicle may communicate with the driver

Seats: All seats must be forward or rear facing. The seat covering must be clean and in a good state of repair.

Seat belts: the provision of a seatbelt for each passenger.

Road Tax: The vehicle must hold a valid vehicle excise licence.

Mirrors: The vehicle must have two external driving mirrors.

Roof: The vehicle must have solid roof which must be kept watertight. Convertibles or soft-tops will not be allowed but sunroofs may be permitted if not in excess of 50% of the roof area.

Doors: In the interest of safety each vehicle should have a minimum 4 doors, which are capable of being opened from the inside. If the vehicle is a minibus, transit or people carrier type it has at least three doors, not including any tailgate or rear door

Interior light: Interior light must be in working order.

Right-hand drive: The steering wheel of the vehicle must be on the right-hand or offside of the vehicle, although exceptions will be considered, e.g., stretch limousines.

'No smoking' signage: as prescribed by the Health Act 2006, 'no smoking' signage must be displayed in licensed hackney carriages or private hire vehicles

Fire extinguishers: There shall be provided and maintained in the vehicle when it is in use or available for hire a suitable and efficient extinguisher (approximately 1kg dry powder type, or 2kg foam type larger vehicles e.g., minibuses)

The fire extinguisher must be kept in good working order either mounted in the driver's compartment or mounted in the boot in such a position as to be readily available for use.

The fire extinguisher must display a valid upcoming expiry date or must be fitted with a pressure gauge indicating that the pressure is still within working parameters.

Fire Extinguishers must be replaced when either their manufacture warranty expires or when the pressure gauge indicating that the pressure is no longer within working parameters.

The extinguisher must be indelibly marked with the plate number of the vehicle prefixed by either HC/PH (Hackney Carriage/Private Hire) corresponding to the type of hire vehicle and the registration number of the vehicle.

First Aid Kit: A suitable motorist's first aid kit must be carried within the interior of the vehicle and readily available to passengers on request. The body of the kit must be indelibly marked with the plate number of the vehicle.

The contents of the first aid kit must be suitable for the number of possible passengers.

The first-aid kit must be maintained in full and proper order with items replaced before expiry.

Spare wheels: All vehicles must carry as a minimum a serviceable spare wheel, jacking equipment and wheel brace, securely stored.

Alternatively, evidence must be produced of a contract with a reputable roadside recovery/tyre replacement company to attend and replace the wheel/tyre, or evidence of adequate in-house recovery arrangements must be provided.

Space savers are acceptable, but a conventional wheel and tyre must be fitted at the earliest available opportunity and, in any case, prior to commencing any future journey.

An emergency puncture repair kit will be considered as an acceptable alternative only if supplied as standard by the manufacturer.

Vehicles need not carry a spare wheel if all wheels are fitted with a suitable 'run flat' tyre mechanism on all four wheels. In the case of a 'run flat' tyre getting a puncture, the tyre must be replaced at the earliest opportunity or in any case on or before the maximum number of miles recommended by the manufacturer is travelled.

Requirements for hackney carriages only

Card Payment Terminals: The vehicle meets the Council's Card Payment Terminal Policy

Taximeters in hackney carriages: Hackney carriage vehicles shall be fitted with a meter.

All licensed hackney carriage vehicles shall be fitted and operated with a clock-calendar controlled meter from [date to be agreed following consultation with the trade].

Display of fare chart: The Council's fare chart must be displayed clearly in the vehicle for passengers to view.

No post manufacture Tinted Windows: No hackney carriage vehicle shall be fitted with dark or tinted windows (other than factory fitted windows when the vehicle was manufactured). Film used to tint windows shall not be permitted.

Requirements for Private hire Vehicles only

Prohibition on taximeters in private hire vehicles: All Private Hire vehicles must not have a taxi meter fitted. Private Hire Vehicles presented for application from this date with a taxi meter fitted will not be licensed.

A digital mobile device which calculates fares using GPS is not considered to be a taxi meter and for clarity these devices are permitted in private hire vehicles.

Must not look like a hackney carriage: private hire vehicles must not be of such design and appearance as to lead any person to believe that the vehicle is a hackney carriage. The Council has therefore specified that a private hire vehicle

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shall not be similar to any vehicle specified by the Transport for London (TFL) as a hackney carriage, e.g. TX4, TX2, Fairway or Metrocab.

Appendix E Hackney Carriage Licence Conditions

Town Police Clauses Act 1847

Local Government (Miscellaneous Provisions) Act 1976

MAINTENANCE OF VEHICLE

1. The vehicle and all its fittings and equipment shall at all times when the vehicle is in use or available be kept in an efficient, safe, tidy and clean condition and all relevant statutory requirements shall be full complied with.

ALTERATION OF VEHICLES

2. No material alteration or change in the specification, design, condition or appearance of the vehicle shall be made without the approval of the Council at any time while the licence is in force.

IDENTIFICATION OF PLATE

3. The plate identifying the vehicle as a Hackney Carriage and required to be exhibited on the vehicle pursuant to section 51 of the Town Police Clauses Act 1847 shall be securely fixed to the rear of the vehicle and internal plate securely fixed in the vehicle in a conspicuous position as specified by the Council and in such manner as to be easily removable by an authorised officer of the Council or a constable.

SAFETY EQUIPMENT

4. There shall be always provided and maintained in the vehicle when it is in use or available for hire a suitable and efficient fire extinguisher and a suitable first aid kit containing appropriate first aid dressings and appliances, such equipment to be carried in such a position in the vehicle as to be readily available for immediate use in an emergency.

INTERIOR MARKINGS

5. The proprietor shall cause to be clearly marked and maintained inside the vehicle in such a position as to be always visible to persons conveyed therein the number of this licence and the number of passengers prescribed in this licence.

SIGNS, NOTICES, ETC

- 6. No signs, notices, advertisements, plates, marks, numbers, letters, figures, symbols, emblems or devices whatsoever shall be displayed on, in or from the vehicle except as may be required by any statutory provisions (including byelaws) or required or permitted by these conditions, provided however that this condition shall not apply to any indication on a taximeter fitted to a vehicle or to a sign which:
- 6.1 is displayed in or from the vehicle while it is stationary;
- (a) contains no words or numbers other than the name and address of an operator of the vehicle or the name under which he/she carried on his/her business and its addresses and, in either case, the name of a passenger or passengers to be carried in the vehicle; and
- (b) is displayed in pursuance of a prior arrangement made for the carriage of a passenger or passengers name in the sign; or
- (c) is a sign which is required to be exhibited whilst carrying schoolchildren under a contract for the hire of the vehicle. Any such sign shall be removed at the termination of the journey.

Notwithstanding the restriction mentioned in 6.1 above, approved commercial advertisements may be displayed on the outside of doors in accordance with the Council's directions.

DRIVER PARTITION/SAFETY SHIELD

- 7. If post manufacture Driver partition/Safety Shield is fitted (either before the licence has been granted or during the currency of the licence) the following applies:-
- It must be of a make, type and design previously approved by Authorised Officers of the Council (for fittings during the licence);
- it must not be changed in any way from its original design and must remain free of damage;
- it must remain clear and translucent; free of scratches, clouding or stickers which would impede the drivers or passenger's visibility;
- it must not impede entry and egress or present a trip hazard to passengers using the vehicle; and
- the Installation and continued subsequent maintenance must be in accordance with manufacturer's specifications and recommendations.

CARD PAYMENT TERMINAL

8. The vehicle must be equipped with a card payment terminal which can accept payment by credit/debit card, including contactless and print a receipt. The device

must be connected, maintained and working at all times to ensure customers are able to pay by card.

CCTV

- 9. Where CCTV is fitted to a licensed vehicle, and in use, it must:
- a) comply with the Information Commissioner's data protection requirements and Codes of Practice
- b) be capable of recording date, time and vehicle identification, such features to be always activated when in use
- c) be event activated (e.g., door or ignition) and must continue to record for at least 30 seconds after the ignition is switched off
- d) be capable of storing recorded material for at least 30 days
- e) incorporate a panic button which stores at least the previous 10 minutes of recording in a separate part of the recording media
- f) be capable of having recorded material downloaded to another storage device for reviewing
- g) have storage media which is not accessible to the driver or any other person travelling in the vehicle
- h) have a data storage unit, securely fixed to the vehicle, and stored separately from the recording unit, out of view of any passenger in the vehicle
- i) be capable of recording images of all passengers travelling in the vehicle and the driver
- i) be always kept in good working order
- k) enable recordings to be made available to Licensing Officers or the Police on request

CONVICTIONS

10. The Licence holder (or if the proprietor is a company or partnership, on any secretary of the company or any of the directors or partners) shall notify the Council within 48 hours of an arrest and release, charge or conviction of any sexual offence, any offence involving dishonesty or violence.

ACCIDENTS

11. If at any time the vehicle is involved in an accident, causing damage which materially affects the safety, performance or appearance of the vehicle, or the

comfort or convenience of persons carried therein, the proprietor must notify the Council in writing of this fact as soon as possible and in any event within 3 working days.

INTERIM VEHICLE TESTING

- 12. a. If when licensed the vehicle is 4 years old or more from the date of its first registration an MOT Test Certificate which is no more than eight weeks old on the due date must be presented to the Council 6 months after the licence start date.
- b. If when licensed the vehicle is 10 years old or more from the date of its first registration MOT Test Certificates which is no more than eight weeks old on the due date must be presented to the Council 4 and 8 months after the licence start date (i.e., every 4 months).

CHANGE OF DRIVER

13. The proprietor must notify the Council in writing within 7 days of any change of driver hiring their vehicle.

DUAL LICENSED VEHICLES

14. Only vehicles currently and properly licensed by the Public Carriage Office will be considered for dual licensing. Vehicles currently licensed by the Public Carriage Office shall be exempt from any of the above conditions where they are at variance to those conditions imposed by the Public Carriage Office.

Appendix F Private Hire Vehicle Licence Conditions

Local Government (Miscellaneous Provisions) Act 1976

FURNISHINGS AND FITTINGS

- 1. The proprietor of a private hire vehicle shall:
- (a) provide sufficient means by which any person in the vehicle may communicate with the driver;
 - (b) cause the roof or covering to be kept watertight;
- (c) provide any necessary windows and a means of opening and closing not less than one window on each side;
 - (d) cause the seats to be properly cushioned and covered;
- (e) cause the floor to be provided with a proper carpet, mat or other suitable covering;
- (f) cause the fittings and furniture generally to be kept in a clean condition, well maintained and in every way fit for service;
- (g) provide means of securing luggage if the vehicle is so constructed as to carry luggage;

MAINTENANCE OF VEHICLES

2. The vehicle and all its fittings and equipment shall at all times when the vehicle is in use or available for hire be kept in an efficient, safe, tidy and clean condition and all relevant statutory requirements shall be fully complied with.

ALTERATION OF VEHICLE

3. No material alteration or change in the specification, design, condition or appearance of the vehicle shall be made without the approval of the Council at any time while the licence is in force.

IDENTIFICATION PLATE

4. The plate identifying the vehicle as a private hire vehicle and required to be exhibited on the vehicle pursuant to section 48(6) of the Local Government (Miscellaneous Provisions) Act 1976 shall be securely fixed to the rear of the

vehicle and internal plate securely fixed in the vehicle in a conspicuous position as specified by the Council and in such manner as to be easily removable by an authorised officer of the Council or a constable.

INTERIOR MARKINGS

5.The proprietor shall cause to be clearly marked and maintained inside the vehicle in such a position as to be always visible to persons conveyed therein the number of this licence and the number of passengers prescribed in this licence and other information required by the Council.

SAFETY EQUIPMENT

6. There shall be provided and maintained in the vehicle at all times when it is in use or available for hire a suitable and efficient fire extinguisher and a suitable first aid kit containing appropriate first aid dressings and appliances, such equipment to be carried in such a position in the vehicle as to be readily available for immediate use in an emergency. The proprietor shall provide means for securing luggage if the carriage is so constructed as to carry luggage.

SIGNS, NOTICES ETC.

- 7. No signs, notices, advertisements, plates, marks, numbers, letters, figures, symbols, emblems or devices whatsoever shall be displayed on, in or from the vehicle except as may be required or permitted by any statutory provision, council policy, or condition attached to licences for private hire vehicles, provided however that this condition shall not apply to a sign which:-
 - (a) is displayed in on or from the vehicle while it is stationary;
- (b) is displayed in pursuance of a prior arrangement made for the carriage of passenger or passengers named in the sign;
- (c) the proprietor shall cause to be affixed and maintained in the vehicle in a conspicuous position in accordance with the directions of the Council any sign or notice relating to private hire vehicles which the Council may from time to time require;
- (d) there may be displayed within the vehicle for the information of passengers a table of fares in a form and printing previously submitted to and approved by the Council;
- (e) Means of identification may be displayed from vehicles to distinguish private hire cars from hackney carriages and to enable the hirer to ascertain that it has been provided in response to a pre-booked call. A vehicle may display either on the front or rear side doors of the vehicle, a sign advertising the name, website, and telephone number of their operator not more than 50mm high, the sign shall not be

illuminated. If the vehicle is licensed to carry more than 6 passengers the letters shall not be more than 75mm high unless a larger size is agreed with the council.

(f) a sign may also be displayed which is required to be exhibited whilst carrying school children under a contract for the hire of the vehicle. Any such sign shall be removed at the termination of the journey.

CCTV

- 8. Where CCTV is fitted to a licensed vehicle, and in use, it must:
- a) comply with the Information Commissioner's data protection requirements and Codes of Practice
- b) be capable of recording date, time and vehicle identification, such features to be always activated when in use
- c) be event activated (e.g., door or ignition) and must continue to record for at least 30 seconds after the ignition is switched off
- d) be capable of storing recorded material for at least 30 days
- e) incorporate a panic button which stores at least the previous 10 minutes of recording in a separate part of the recording media
- f) be capable of having recorded material downloaded to another storage device for reviewing
- g) have storage media which is not accessible to the driver or any other person travelling in the vehicle
- h) have a data storage unit, securely fixed to the vehicle, and stored separately from the recording unit, out of view of any passenger in the vehicle
- i) be capable of recording images of all passengers travelling in the vehicle and the driver
- i) be always kept in good working order
- k) enable recordings to be made available to Licensing Officers or the Police on request

INTERIM VEHICLE TESTING

- 9. a. If when licensed the vehicle is 4 years old or more from the date of its first registration an MOT Test Certificate which is no more than eight weeks old on the due date must be presented to the Council 6 months after the licence start date.
- b. If when licensed the vehicle is 10 years old or more from the date of its first registration MOT Test Certificates which is no more than eight weeks old on the due

date must be presented to the Council 4 and 8 months after the licence start date (i.e. every 4 months).

DRIVER PARTITION/SAFETY SHIELD

- 10. If post manufacture Driver partition/Safety Shield is fitted (either before the licence has been granted or during the currency of the licence) the following applies:-
- It must be of a make, type and design previously approved by Authorised Officers of the Council (for fittings during the licence);
- it must not be changed in any way from its original design and must remain free of damage;
- it must remain clear and translucent; free of scratches, clouding or stickers which would impede the drivers or passenger's visibility;
- it must not impede entry and egress or present a trip hazard to passengers using the vehicle; and
- the Installation and continued subsequent maintenance must be in accordance with manufacturer's specifications and recommendations.

CHANGE OF ADDRESS

11. The proprietor shall notify the Council in writing of any change of his/her address during the period of the licence within seven days of such change taking place.

CHANGE OF DRIVER

12. The proprietor must notify the Council in writing within 7 days of any change of driver hiring their vehicle.

CONVICTIONS

13. The Licence holder (or if the proprietor is a company or partnership, on any secretary of the company or any of the directors or partners) shall notify the Council within 48 hours of an arrest and release, charge or conviction of any sexual offence, any offence involving dishonesty or violence.

ACCIDENTS

14. If at any time the vehicle is involved in an accident, causing damage which materially affects the safety, performance or appearance of the vehicle, or the

comfort or convenience of persons carried therein, the driver must notify the Council of this fact as soon as possible and in any event within 3 working days.

DEPOSIT OF DRIVERS' LICENCES

15.If the proprietor permits or employs any other person to drive the vehicle as a private hire vehicle, he/she shall before that person commences to drive the vehicle cause the driver to deliver to him his/her private hire driver's licence for retention until such time as the driver ceases to be permitted or employed to drive the vehicle or any other vehicle of his/hers.

Appendix G Partition Screen

- 1. Drivers, operators and owners of taxis or private hire vehicles, may choose to fit a screen to reduce the spread of disease, including coronavirus (COVID-19). The Council does not require the installation of screens, but if licence holders choose to install one, it is considered by the Council to be a modification to the vehicle.
- 2. For all licences granted (or renewed) after the addition of this appendix to the policy, it will be a condition of the vehicle licence that the licence holder obtain the Council's permission before a screen is fitted.
- 3. For existing vehicle licence holders (at the time this appendix is added to the policy), the existing general condition which require immediate notification to the Council of any modifications to the vehicle applies and licence holders should immediately notify the Council of the installation of a screen using the declaration form at the end of this appendix, and must also advise the Council of the following:
 - (a) what modifications they have made to your vehicle
 - (b) what the seating arrangements are in the vehicle.
- 4. Liability for the screen rests with the licence holders and the Council will not accept liability if a screen causes injury or death to a passenger, or driver causes any property damage.

Screen standards

- 5. The screen or barrier should:
 - (a) Be fitted in a way that does not affect the structural integrity of the vehicle, or interfere with any manufacturer fitted safety equipment.
 - (b) Not interfere with or compromise any operating or safety features in the vehicle (including airbags, handbrake, gear stick) or the Vehicle Type Approval.
 - (c) Not be made of materials that will increase the fire risk in the vehicle.
 - (d) Be transparent so that the driver and passengers can see each other. It must not interfere with the safe use of the vehicle and must remain clear of scratches, clouding and stickers which would reduce the driver or passengers' visibility.
 - (e) The screen must be made of a material which allows both the passenger and driver to see and hear one another clearly.
 - (f) The screen must be made of a material which does not cause suffocation or other injury.
 - (g) Only create a partition between the two front seats and the rear cabin area.
 - (h) Not obstruct the passenger leg room in any way or require the passenger to adjust the screen to accommodate their legs or baggage.
 - (i) Be adequately and safely secured.
 - (j) Not interfere with the safe access and egress of the driver or passengers or become easily detached during normal use.

(k) Be adequately disinfected between bookings and at the start and end of each working day.

Owner, driver and operator requirements

- 6. If a screen is fitted, the Council expects licence holders to do the following:
 - (a) Inform their insurer that they are fitting a screen and make sure their insurance is not invalidated as a result.
 - (b) Make sure that the licence holder is adequately insured if they fit a screen.
 - (c) Provide evidence to the Council that the relevant insurance for the device is
 - in place by emailing the Taxi Licensing team (licensing@epsom-ewell.gov.uk).
 - (d) Fit or install screens according to the manufacturer's specifications and recommendations.
 - (e) Make sure the screen is removed before a vehicle compliance test.
- 7. The policy regarding screens will remain under review and the Council may in future require that any screens installed be removed.
- 8. The type of screen fitted (full front or back partition) could make the front passenger seat in the vehicle unusable. If this is the case, it will reduce the number of passengers the licenced vehicle is permitted to carry.

Appendix H Private Hire Limousines and Speciality Vehicles Conditions

The following are a pool of standard conditions relating to speciality vehicles. The Council may decide to attach all or any of the following standard conditions (which may be in addition to some of all of the conditions in Appendix F) to the grant of a private hire vehicle licence in respect of a speciality vehicle, as may be considered appropriate in any individual case. It is also dependent upon the Councils nominated garages being able to appropriately inspect such vehicles.

- 1.0 Additional Conditions for Private Hire Limousines and Speciality Vehicles
- 1.1 The vehicle to be exempted is of a high quality both in terms of brand and condition.
 - Vehicles which may be the accepted luxury brands such as Mercedes Benz, BMW, Jaguar, Rolls Royce, Bentley, and Lexus. The highest specification executive type cars from other manufacturers may also be considered. These will be assessed on a case-by-case basis.
 - The vehicle must have no visible defects, dents or blemishes to the external bodywork or internal trim. This is a spec to be added to the pre-amble or the body of the policy.
- 2.0 Types of Vehicles
- 2.1 The vehicle must have one of the following:
 - (i) A UK Single Vehicle Approval Certificate
 - (ii) A European Whole Vehicle Approval Certificate
 - (iii) UK Low Volume Type Approval Certificate
- 2.2 If the vehicle has a UK Single Vehicle Approval Certificate, the Council will expect that the vehicle will have been modified in accordance with a program approved by the original vehicle manufacturer and have appropriate documentary proof. The licensee must produce a copy of the IVA certificate at time of licensing.
- 3.0 Vehicle and Safety Equipment
- 3.1 The proprietor of a vehicle shall:
 - Ensure that the vehicle and all its fittings and equipment are at all times kept in a fit, serviceable, safe and clean condition.
 - Ensure the vehicle is fitted with tyres that meet both the manufacturer's size and weight specification for that vehicle.
 - Vehicles may be granted an exemption from the requirement under the conditions of licence for private hire vehicles to be right hand drive.
 - Vehicles with sideways facing seating may be considered for private hire licensing.
 - The passenger compartment of the vehicle may be fitted with darkened or blackened glass if approved by the Council.

4.0 Use of Vehicle

- Not permit to be conveyed in the vehicle more than the number of persons for which the vehicle is licensed, regardless of the age or size of the passengers (should also be a condition for standard HC/PH vehicles).
- Ensure that in any advertisement publicising their business, the vehicle is not stated to carry any more than 8 passengers.
- Not convey any passengers in the front compartment with the driver.
- Not supply alcohol to passengers unless there is in force an appropriate authorisation under the Licensing Act 2003 permitting the sale or supply of the same
- If the occupants are below the age of 18, there should be no alcohol carried in the passenger compartment.
- Any glassware in the vehicle must be made of either shatterproof glass or plastic
- The driver shall not play or permit the performance of any film, video or similar media where any passenger in the vehicle is below the age permitted by the age classification to view the film etc.

Appendix I Additional licence conditions for private hire vehicles benefiting from a plate exemption

- 1. This exemption exempts the vehicle from displaying its rear vehicle licence plate and private hire door signage. All other signage required by the council on the exterior or interior of the vehicle must be always displayed.
- 2. All other licence conditions relating to private hire vehicles remain in force.
- 3. The private hire vehicle licence and exemption notice issued by the Council must be carried in the vehicle at all times and available at all times for inspection by a police officer or authorised officer.
- 4. An exemption will only be granted and remain valid where the Council is satisfied that the vehicle will be used exclusively or primarily for 'chauffeured' private hire work. Primary use means at least 90% of the work, as evidenced via booking records. The Council may undertake an audit of booking records at any point during the exemption to ensure that the vehicle is being used for chauffeured work.
- Exemptions may be withdrawn at any time by the Council and if the licence holder cannot prove that the vehicle is used exclusively or primarily for 'chauffeured' private hire work.
- 6. Exemptions are subject to annual review and licence holders must reapply each year.

Appendix J Private Hire Operator Licence Conditions

Local Government (Miscellaneous Provisions) Act 1976

RECORDS

- 1. The record required to be kept by the operator under Section 56(2) of the Local Government (Miscellaneous Provisions) Act 1976 shall be kept either electronically or in a suitable book, the pages of which are numbered consecutively and the operator shall enter or cause to be entered therein, before the commencement of each journey, the following particulars of every booking of a private hire vehicle invited or accepted by him.
 - a) the name of the passenger;
 - b) the time and date of the request;
 - c) the pick-up point;
 - d) the time and date of pick-up;
 - e) the destination;
 - f) the name of the driver;
 - g) the driver's licence number;
 - h) the vehicle registration number of the vehicle;
 - i) the name of any individual that responded to the booking request;
 - i) the name of any individual that dispatched the vehicle.
 - k) how the booking was made (i.e. by telephone, personal call, etc);
 - I) details of any sub-contract.
 - m) price quoted for the booking

VEHICLES

- 2. The record required to be kept by the operator under Section 56(3) shall contain the following details:
 - a) manufacturer, model and colour;
 - b) registration number;
 - c) registered keeper (e.g., name and address or registration document);
 - d) date when vehicle became available to operator;
 - e) copy of current MT certificate;
 - f) copy of current valid certificate of insurance;
 - g) date vehicle ceased to be available to the operator.

DRIVERS

- 3. The record required to be kept by the operator under Section 55(3) shall contain the following details:
 - a) name;
 - b) date of birth;
 - c) address (or normal place of residence);
 - d) date driver became available to operator;
 - e) national insurance number;
 - f) driving licence number and category of vehicle for which eligible to drive;
 - g) photograph of driver;
 - h) date driver ceased to be available to the operator.

Operators must provide details to the Council of any licensed driver whose services are dispensed with by the operator, where the circumstances of the driver's dismissal related to the driver's unsatisfactory conduct.

PROPERTY

4.Operators must establish a procedure for dealing with clients' property lost and found in a private hire vehicle operated by them or under contract to them. This shall include evidence that an attempt has been made to return the property to the owner, and a system for recording, storing and disposal of property found.

Records of bookings, vehicles, drivers, and lost property must be kept for a period of 12 months from the date of the booking, or the driver or vehicle ceased to be available to the operator, or property reported lost or found.

STANDARDS OF SERVICE

5. The operator shall provide a prompt, efficient and reliable service to members of the public at all reasonable times and for this purpose shall in particular:-

- a) Ensure that when a private hire vehicle has been hired to be in attendance at an appointed time and place, the vehicle shall, unless delayed or prevented by sufficient cause, punctually attend at that appointed time and place.
- b) Keep clean, adequately heated, ventilated and lit any premises which the operator provides and to which the public have access, whether for the purpose of booking or waiting.
- c) Ensure that any waiting area provided by the operator has adequate seating facilities.
- d) Ensure that any telephone facilities and radio equipment provided are maintained in a sound condition and that any defects are repaired promptly.
- e) Operators must indicate clearly at the operating centre or within any advertising or promotion of their services that the service provided is in respect of pre-booked journeys only.

- f) Operators must display on public view at operating centres with public access, evidence of their public liability insurance and operator licence, and make available on request.
- g) Details of the fare for the hiring should be given to the hirer by the operator when the booking is being made.
- h) Operators must establish a complaints procedure, ensuring that all complaints include the driver's name, licence number, nature of complaint, details of complainant and action taken. (Records of all complaints must be kept for a minimum period of twelve months from the date of complaint.

CHANGE OF DETAILS

- 6. The licence holder must notify the Council in writing within 7 days of any change of their operational circumstance, whether permanent or temporary, including change to:
 - Name
 - Address
 - Telephone number
 - Mobile phone number
 - Email address
 - Directors, company secretary, partners, management or control of the business

CONVICTIONS

7. The operator (or if the operator is a company or partnership, any company secretary/director/partner) shall notify the Council within 48 hours of an arrest and release, charge or conviction of any sexual offence or any offence involving dishonesty.

PROCEDURE FOR VETTING STAFF

- 8. Operators are required to;
 - a) Maintain a live/rolling register of all booking and dispatch staff in their employment, the details being retained for six (6) months from the date of any booking and/ or dispatch, regardless of the individual's employment status;
 - b) Produce upon demand the register of staff to an authorised Council officer and/or Police Officer;
 - Provide evidence to the Council that they have had sight of a Standard DBS check every 6 months in relation to all individuals listed on their register of booking and dispatch staff;

- d) Provide to this Licensing Authority a written hard format copy of the Operator's Policy on employing ex-offenders;
- e) For each and every individual on the aforementioned register, for new employees at the time of their engagement, for existing employees at the time of creation of the register, confirm sight of their recently issued (less than 3 months old) Basic DBS Criminal Record Certificate, and that they are suitable to decide such matters as who is sent to transport an unaccompanied child or vulnerable adult;
- f) Require such employed staff, as part of their contract and terms of employment, to immediately to notify the operator of any convictions, warnings, cautions or charges being faced of any sort.

USE OF PUBLIC SERVICE VEHICLES

9. The use of public service vehicles (PSV) licensed drivers and vehicles to fulfil bookings accepted under a Private Hire Operator Licence is strictly forbidden.

NUMBER OF VEHICLES OPERATED

10. The licence holder must not operate more vehicles than the number specified in their licence.

DISPLAY OF LICENCE

11. If any premises is provided for the use of the public to make bookings or wait for vehicles the licence holder shall ensure that a copy of their private hire operator's licence is prominently displayed on the premises where members of the public can read it.

Appendix K Scheme of Delegations

Matter to be dealt with	Licensing and Planning Policy Committee (LPPC)	Sub-Committee	Authorised Officers
Determine Table of Fares	Agreement of method for setting fares.		Application of method for calculating fares: Principal Licensing Officer
Determine objections to fees or table of fares			All cases: Principal Licensing Officer in consultation with the Chair of the LPPC
Determination of application for a Drivers Licence		Where there is doubt that the applicant is a fit & proper person	Where satisfied that the applicant is fit and proper: Licensing Officer
Revocation of a Drivers Licence following a complaint, conviction, endorsement, etc		All other cases	Where there is an urgent need in order to protect the public: Head of Service
Suspend a Drivers Licence for failing to supply evidence of fitness and probity as required by this policy			All cases: Licensing Officer
Suspend a Drivers Licence following a complaint, conviction, endorsement, etc		All cases	
Determination of application for a Vehicle Licence		Where there is doubt that the vehicle is suitable	Where satisfied that the vehicle meets the required

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-		
	 	standards: Licensing Officer
Suspend a Vehicle licence for failing to meet the standards set out in this policy		All cases: Licensing Officer
Revocation a Vehicle licence	All other cases	Where satisfied the vehicle is no longer capable of meeting the required standards: Licensing Officer
Suspend/Refuse or Revoke an operator's licence	All cases	
Setting Local Knowledge Test and Study Guide		Principal Licensing Officer
Determination of Vehicle Advertising		All cases: Licensing Officer
Determination of plate exemption and withdrawal of authorisation		All cases: Licensing Officer
Attach Penalty Points to Drivers, Operators and Vehicle Licences	Points may be awarded or amended on referral to subcommittee	All cases: Licensing Officer
Notice requiring return of vehicle plate/ authorisation for subsequently remove plate		All cases: Licensing Officer
Review and determination of procedures used at a Sub-		Principal Licensing Officer in consultation with Legal Services,

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Committee Hearing		Democratic Services and the LPPC Chair
Authority to delegate/receive taxi and private hire enforcement functions to/from the other local licensing authorities	All other cases	To/from the other Surrey licensing authorities: Head of Service

Appendix L Penalty Points Scheme

This penalty point system applies to all hackney carriage and private hire drivers, vehicle proprietors and operators licensed by Epsom & Ewell Borough Council.

Where an offence or breach has been witnessed by a Council Officer, Police Officer or Councillor and/or admitted, then points will be endorsed against an individual or Operator. When an individual has accrued 12 points within a two-year rolling period or commits the same offence/breach twice in a 12-month period then the individual will be referred to the Licensing Sub-Committee.

The Scheme will not bar the Authority from administering a Caution or initiating legal proceedings following an offence or breach of condition or Byelaw. Points may also be issued following a prosecution in appropriate cases. If more than one offence takes place the points will be added consecutively to the individual's file.

The Scheme overpage shows the maximum points for an offence. Officers will have discretion to decide on the points given based on circumstances. If more than one offence takes place the points will be added consecutively to the individual's file.

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Vehicle	Points
Failure to keep the interior and exterior in a clean and tidy condition, free from damage.	6
Failure to keep vehicle in roadworthy condition.	12
Failure to display internal identification plate and/or securely fix the external identification plate to	<u>'-</u>
the rear of the vehicle as instructed by an Authorised Officer, or failure to keep exemption notice in	6
vehicle.	•
Failure to carry a fully serviceable fire extinguisher or first aid kit.	6
Failure to present vehicle for inspection at time appointed by an Authorised Officer.	6
Failure to return plate after notice given after expiry, revocation or suspension of private hire	
vehicle licence (except dual licensed vehicles).	6
Evidence of smoking in a vehicle ascertained by officers whilst carrying out vehicle checks or smoking in a vehicle.	6
Carrying an offensive weapon in the vehicle.	12
Carrying more passengers than stated on the vehicle licence plate	6
Carrying more passengers triair stated on the vehicle licence plate	0
Conduct, Demeanour and Driving Standards	Points
Failure to be clean and presentable whilst working (clothing to be clean, smart casual and secure	6
footwear suitable for driving must be worn i.e., no vests or flip flops).	
Obstruction of an authorised officer or police officer wishing to examine a licensed vehicle.	12
Failure to observe rank discipline, queue jumping, not moving down rank.	6
Failure to carry an assistance dog without requisite exemption.	12
Making false statements or withholding information to obtain a licence.	12
Operating a private hire vehicle without a private hire operator's licence.	12
Knowingly allowing drivers to operate in breach of penalty points system.	6
Plying for hire, unlawful touting or soliciting customers.	12
Refusal to take a passenger without good cause.	6
Charging more than the metered fare or agreed fare.	6
Using an unlicensed or uninsured vehicle for hire or reward.	12
Stationary hackney carriage vehicle plying for hire whilst parked other than on a designated rank.	6
Failure to reasonably assist passengers without good cause or exemption certificate.	6
Driving a licensed vehicle without a valid hackney or private hire driving licence.	12
Driver leaving a hackney carriage unattended or obstructing other hackney carriages.	6
Failure to wear drivers badge in prominent position without exemption.	6
Admitting to unnecessarily prolonging a journey.	6
Failure to attend a time appointed by an authorised officer.	6
Driving in a careless and inconsiderate manner in accordance with the Road Traffic Act.	12
Using threatening or offensive language or behaviour.	6
Officer observing a licensed driver sleeping on a taxi rank.	6
Using a mobile phone whilst driving or stationery with engine running.	6
Cause or permit the vehicle to be stationary on double yellow lines, other than to allow passengers	
to board or alight from your vehicle.	6
Cause or permit the vehicle to be stationary on a single yellow line, in contravention of the notices	6
displayed, other than to allow passengers to board or alight from your vehicle. Contravention of any traffic laws, regulations, orders or guidance outlined in the current Highway	
Code.	6
Administration	Points
Operator failing to keep accurate, current records of bookings, or failure to present records to an	^
authorised officer upon request at an appointed time.	6
Failure to keep records of private hire vehicles/drivers operated by operator.	6
Failure to notify the Local Authority of convictions within 48 hours.	12
Failure to follow the Local Authority's conditions on signage.	6
Failure to notify change of name, address, telephone number or operator, medical condition (which	6
may impact I on driving) within seven days of change	
Failure to notify transfer of ownership for private hire or hackney carriage.	6
	6
Failure to return private hire driver licence after notice given after revocation or suspension.	
Failure to produce DVLA driver's licence within seven days on request of the Local Authority.	6
Failure to produce DVLA driver's licence within seven days on request of the Local Authority. Failure to report an accident to Local Authority.	6
Failure to produce DVLA driver's licence within seven days on request of the Local Authority.	

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Safeguarding Children: Who to speak to

If you are concerned about the welfare of any child you are transporting or suspect that a child/children are being abused do not keep these concerns to yourself.

Discuss them with your nominated Safeguarding Children person, the local Children's Social Services and the local Police.

Your nominated Safeguarding Children person is

Surrey Children's Social Services: 0300 470 9100

cspa@surreycc.gov.uk

Emergency (out of office hours): 01483 517898

Police Station (non-emergency) 101 In an emergency always dial 999 This page is intentionally left blank

Summary of changes to policy

All sections of the policy have been updated to provide greater clarity and detail. The following summary highlights the key changes to the existing policy.

Changes resulting from the Statutory Standards

General: A single a cohesive policy document that brings together all their procedures on taxi and private hire vehicle licensing

- 1.4.3 **Policy status**: Requirement to review of licensing policy every five years,
- 2.18 **Whistleblowing**: Requirement that all staff are aware of whistleblowing policies
- 3.17 **DBS update service**, and Appendix C Private Hire Driver Licence Conditions: Requirement that all drivers subscribe to the DBS update service
- 2.5 **Overseas convictions**: requirement that the Council should advise the applicant to seek independent expert or legal advice on overseas convictions.
- 2.6 **Updating the Council of changes**, and Appendix B (policy of conviction information), C, E, and F (conditions): Requirement to notify Council of conviction etc within 48 hours (previously 3 working days)
- 2.9 **Decision making**, and Appendix K: requirement for a clear Scheme of delegations, including arrangements for dealing with serious matters that may require the immediate revocation of a licence
- 2.16 **Joint Warranting Arrangements**: All Surrey Authorities except Epsom & Ewell Borough Council entered a joint warranting arrangement some years ago. Whilst at the time there may have been good reason for the decision not to participate in the scheme, the Statutory Standards requires joint authorisations be implemented where the need arises, so that compliance and enforcement action can be taken against licensees from outside their area. In light of the Statutory Standards participation in the Surrey wide joint authorisations scheme now appears necessary.
- 3.13 **Knowledge Test**, and 3.14 English Language assessment (where necessary): Updated to include a written English test, and policy for assessing drivers who appear not to meet the English language part of the test.
- 4.7 **Application Process**: Requirement for a basic DBS check for vehicle proprietors who are not already licensed as drivers.
- 5.13 Criminal Records Checks for Private Hire Vehicle Operator Booking and Dispatch Staff, and appendix J: DBS checks for booking and dispatch staff required by Statutory Standards.

Appendix B Policy regarding the relevance of convictions and other related information: periods required to be free of conviction updated to meet Statutory Standards

Appendix J Operator conditions: Record keeping requirement updated to match Statutory Standards requirement, and prohibition on use of PCV licensed drivers added as required.

Other key changes from existing policy

- **3.12 Age/driving experience**: Removal of minimum age requirements (21) for drivers, and requirement for Hackney carriage drivers to have previously held a private hire driver licence for at least 6 years, as these appeared to be unreasonable barriers to accessing the trade. For less experienced drivers (under 21 or with less than 3 years driving experience) a driver proficiency assessment is required instead.
- **3.9 Medical Examination**: The medical standard is now clearly shown as being the same as the DVLA group 2 standard, and when medical re-assessment is required now matches the DVLA Group 2 standard for vocational drivers (previously drivers aged 65 and 70 were required to provide a medical certificate every two years, now drivers over 65 require annual medicals
- **4.6 Smoking in licensed vehicles**: condition of licence extends smoke free provision to e-cigarettes and vapes
- **4.7 LOLER Certification**: vehicles with lifts fitted for wheelchairs will need to evidence they comply with legal certification requirements
- **4.13 Partition screens:** as a result of covid, post manufacture fitted driver safety screens have become more frequent place, and this policy sets necessary standards to allow for their installation.
- **4.14 Interim MOT assessments for vehicles:** Currently the only additional mechanical assessments for vehicles (above the annual statutory MOT test) is for those over four years of age at that are required to undergo an MOT test every six months. It is proposed that vehicles over 10 years old will require testing three times a year (4 monthly intervals). Some Licensing Authorities have a maximum age limit for licensed vehicles, but for those that do not it is typical for vehicles to undergo more frequent mechanical inspections once they are over 10 years old, and as such this change is not considered unreasonably burdensome.
- **4.25 Mandatory Card Payment Terminals in Hackney Carriages**: Since October 2016 every TfL hackney carriage has been required to fitted with a debit or credit card payment system. On 21 April 2016 the Licensing and Planning Policy Committee considered whether to implement the same policy as being proposed by TFL for locally licensed hackney carriages, but based on representations received decided at that time not to implement the requirement. However, the use of these payment devices has since become more widespread, and the covid pandemic has

accelerated the move towards a 'cashless' society. The consultation necessary for the implementation of the statutory standards would be a good opportunity to review this matter.

- **4.26 Clock-calendar controlled taxi meters:** Hackney Carriages must be fitted with approved clock-calendar meters. This will prevent any unscrupulous driver from manually selecting a higher fare rate at unauthorised times.
- **4.33 Exemption from displaying private hire vehicle plate:** A policy for issuing plate exemption is already in practice, however it has never been written into policy or consulted on. This policy will make the requirements and decision process transparent.
- 5.2 Location of private hire operator base: A new policy that the Council will not grant a licence to an operator whose premises are located outside the district, and that 'Virtual offices' will no longer be licensed as an operator's base. Currently this Council licences a large number of licensed operators with head offices based outside of the Borough, with only a 'virtual' within the Borough. This can create challenged with licensing 'at arm's length', particularly around the enforcement of licence conditions. Preventing new applications from 'out of area' operators will allow officers to manage the current risks within current resources.

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LOCAL DEVELOPMENT SCHEME

Head of Service: Victoria Potts, Head of Place Development

Wards affected: (All Wards);

Urgent Decision?(yes/no) No

If yes, reason urgent decision

required:

Appendices (attached): Appendix 1 – Local Development Scheme

Summary

The Local Development Scheme is a project plan that sets out the timetable to produce new or revised Development Plan Documents which will form the Council's Local Development Plan. This LDS, which supersedes all previous versions, sets out a planning work programme for the Council over a three-year period to 2025.

Recommendation (s)

The Committee is asked to:

(1) consider and approve the revised Local Development Scheme (April 2022) at Appendix 1

1 Reason for Recommendation

1.1 Epsom and Ewell Borough Council is required to prepare and maintain a Local Development Scheme (LDS) in accordance with the Planning and Compulsory Purchase Act 2004 (as amended by the Localism Act 2011.

2 Background

- 2.1 The purpose of the Local Development Scheme is to set the timetable for the preparation and production of the Borough Council's Local Plan.
- 2.2 Progress against the milestones identified in the LDS is monitored through the Authority Monitoring Report. The Local Plan programme may be reviewed to take account of changes to planning or external circumstances which could have an impact on the timetable. Revisions have previously been triggered by changes in national planning policies and consequential changes to the approach to plan-making.

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- 2.3 The last Local Development Scheme was published in March 2021.
- 2.4 However, due to the continued challenges created by the Coronavirus Pandemic and the uncertainty around changes to the Planning System, limited progress was made.
- 2.5 Although there is still uncertainty around changes to the Planning System, the Council must now progress a new Local Plan, and this LDS is supported by detailed project management documentation to ensure that the timeline is realistic but ambitious.
- 2.6 <u>Local Plan Programme</u>
- 2.7 The updated timetable outlines a project plan for the preparation, production and consultation on the new Plan and associated supporting evidence. The timetable identifies key milestones during document production.
- 2.8 The key milestones over the next twelve months are:
 - October/November 2022 Draft Local Plan (Regulation 18)
 - October/November 2023 Submission Public Consultation (Regulation 19)
 - December 2023 Submission to the Secretary of State
 - Winter 2024 Estimated date of Adoption
- 2.9 Member engagement will form a key part of the process mapped out by the key milestones identified above. The draft Local Plan (Regulation 18) will be the subject of a report coming before the Licensing & Planning Policy Committee and the proposed Submission Local Plan (Regulation 19) will be the subject of reports coming before the Licensing & Planning Policy Committee and then on to full Council.

3 Risk Assessment

Legal or other duties

- 3.1 Impact Assessment
 - 3.1.1 In the absence of an up-to-date Local Plan, this poses a few risk areas. These include the continued absence of a 5-year housing land supply and the additional measures introduced through the Housing Delivery Test.

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- 3.1.2 Having an up-to-date Local Development Scheme is a key measure in mitigating the possible risk of direct government intervention. The government will want to see progression against their target date of all local planning authorities having an up-to-date Local Plan by the end of 2023. The government encourages local planning authorities to update their Local Development Schemes as often as necessary.
- 3.1.3 As part of the detailed project management documentation there is a detailed risk register that is monitored and maintained which highlights those risks to achieving the timescales set out in the LDS.
- 3.2 Crime & Disorder
 - 3.2.1 None arising from this report.
- 3.3 Safeguarding
 - 3.3.1 None arising from this report.
- 3.4 Dependencies
 - 3.4.1 The Council's Local Plan timetable continues to be dependent on any future changes to the Planning legislation and National Planning Policy that impact on local authority plan making. Other dependencies include capacity of specialist consultees and timely responses/input from key stakeholders. The timetable from the point of Submitting the Local Plan is out of the Council's control and will be set by PINs.
- 3.5 Other
 - 3.5.1 None arising from this report.

4 Financial Implications

- 4.1 There are no direct financial implications as a result of this report. Local Plan funding has already been secured in terms of staffing within the Planning Policy team and funding for specialist external support in the preparation of technical evidence base documents.
- 4.2 **Section 151 Officer's comments**: None arising from the contents of this report.

5 Legal Implications

- 5.1 A Local Development Scheme is required under section 15 of the Planning and Compulsory Purchase Act 2004 (as amended).
- 5.2 Legal Officer's comments: none arising from the contents of this report.

6 Policies, Plans & Partnerships

- 6.1 **Council's Key Priorities**: The following Key Priorities are engaged:
- 6.2 The new Local Plan will contribute towards delivering the Council's Visions and objectives identified in its Four-Year Plan.
- 6.3 **Service Plans**: The matter is included within the current Service Delivery Plan.
- 6.4 Climate & Environmental Impact of recommendations:
- 6.5 The Local Plan itself has a key role in implementing a few key objectives that are set out in our Climate Change Action Plan.
- 6.6 Sustainability Policy & Community Safety Implications:
- 6.7 The Local Plan itself has a key role in delivering sustainable development.
- 6.8 There are no Community Safety Implications.
- 6.9 **Partnerships**:
- 6.10 The Council has a duty to cooperate with relevant stakeholders in the preparation of a Development Plan.

7 Background papers

7.1 The documents referred to in compiling this report are as follows:

Previous reports:

Revised Local Plan Programme (March 2021)

Other papers:

None



Local Development Scheme

(Timetable for preparing the Local Plan)

April 2022

Epsom & Ewell Borough Council

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1.0 Introduction

- 1.1 Epsom and Ewell Borough Council is required to prepare and maintain a Local Development Scheme (LDS) in accordance with the Planning and Compulsory Purchase Act 2004 (as amended by the Localism Act 2011).
 - "(2) The scheme must specify—
 - a) The local development documents¹ which are to be development plan documents².
 - b) The subject matter and geographical area to which each development plan document is to relate; c) Which development plan documents (if any) are to be
 - prepared jointly with one or more other local planning authorities.
 - d) Any matter or area in respect of which the authority has agreed (or propose to agree) to the constitution of a joint committee under section 29.
 - e) The timetable for the preparation and revision of the development plan documents".

Planning and Compulsory Purchase Act 2004 (Section 15)

1.2 The LDS is a project plan that sets out the timetable to produce new or revised Development Plan Documents which will form the Council's statutory Development Plan³. This LDS, which supersedes all previous versions, sets out a planning work programme for the Council over a three-year period to 2025. It will be reviewed annually through the Authority Monitoring Report which can found here.

2.0 The current adopted Development Plan

- 2.1 The current adopted statutory development plan for Epsom and Ewell Borough Council is made up of:
 - Epsom & Ewell Core Strategy 2007
 - o Plan E Epsom Town Centre Area Action Plan 2011
 - o Epsom & Ewell Development Management Policies Document 2015
 - Surrey Waste Plan 2008
 - Surrey Minerals Plan Core Strategy 2011

¹ As defined in Regulation 5 of The Town and Country Planning (Local Planning) (England) Regulations 2012.

E.g., Local Plan, Supplementary Planning Document, Area Action Plan

² Development Plan Documents are for example Local Plans, Area Action Plans. They refer to the development and use of land, the allocations of sites and development management and site allocation policies.

³ The statutory Development Plan is made up of <u>all</u> adopted Development Plan Documents, e.g., any local plan, area action plan both at the borough level and at the county level.

3.0 Other relevant documents

Statement of Community Involvement

- 3.1 The current Statement of Community Involvement (SCI) was adopted in November 2019. Although the LDS does not need to contain the timetable for the production of the SCI, as this is being revised to support the preparation of the new Local Plan, the timetable for is production is set out on this LDS at Appendix 2.
- 3.2 The SCI sets out the programme of community engagement and consultation for the preparation, alteration, and review of all Development Plan Documents and planning applications. The SCI also states how the local authority intends to achieve this involvement.

Authority Monitoring Report

- The Council publishes up-to-date authority monitoring information on its website. This focuses on assessing progress against the LDS and current planning policies that include annual numbers for new homes (including affordable homes). It also includes information about Neighbourhood Plans, the Community Infrastructure Levy (CIL) and the Duty to Co-operate.
- 3.3 The Authority Monitoring Report webpage can be viewed here.

Policies Map

3.4 Finally, the Council is required to produce a Policies Map which shows the location of proposals in all current, adopted local development documents on an ordnance survey-based map. The map is web based and is kept up-to-date and reflects current adopted policies within the borough.

Community Infrastructure Levy (CIL)

- 3.5 The Community Infrastructure Levy (CIL) raises funds from new development for essential infrastructure. It primarily replaces the older system of financial contributions and planning obligations ('Section 106 agreements'). Under the CIL regulations limitations have been placed on the ability of councils to use S106 monies to provide for infrastructure beyond the mitigation of specific developments.
- 3.6 The Council adopted its CIL charging Schedule on the 29 April 2014 with an implementation date of 1 July 2014. The CIL charging rates are supported by evidence of development viability.

Supplementary Planning Documents

- 3.7 Although part of the development framework, Supplementary Planning Documents⁴ (SPDs) no longer need to be identified in the LDS. The Council currently has the following SPD's.
 - Upper High Street, Depot Road and Church Street Development Brief 2012
 - o Revised Developer Contributions Supplementary Planning Document 2014
 - Parking Standards for Residential Development 2015
 - Revised Sustainable Design Supplementary Planning Document 2016.

4.0 The emerging Development Plan

Local Plan 2040

4.1 The Local Plan 2040 will set the vision and framework for future development of the borough to 2040. This will include addressing local housing need, the economy, environmental considerations, community infrastructure as well as strategic infrastructure needs. The geographical area covered by the Local Plan 2040 is the borough of Epsom and Ewell.

Stages of Local Plan 2040 preparation

4.2 There are several key stages in the preparation of the Local Plan, each are subject to the Strategic Environmental Assessment (SEA) Directive⁵ which will be incorporated into the Sustainability Appraisal⁶:

Pre-publication stage (Regulation 18)

This initial stage involves extensive evidence gathering, engaging with the local community, businesses and stakeholders on emerging issues and options, consulting with statutory environmental consultees on the scope of the sustainability appraisal, and infrastructure providers with regards to development options. This is scheduled for October - December 2022.

Publication of Submission Draft Local Plan (Regulation 19)

Following Regulation 18, the next stage is for the Council to publish a draft

⁴ Supplementary Planning Documents are a type of Local Development Document, but they only concern any environmental, social, design and economic objectives which are relevant to the attainment of the development and use of land. Anything else is a Development Plan Document.

⁵ The SEA Directive applies to a wide range of public plans and programmes (e.g., on land use, transport, energy, waste, agriculture, etc.). An SEA is mandatory for plans/programmes which are prepared for agriculture, forestry, fisheries, energy, industry, transport, waste/ water management, telecommunications, tourism, town & country planning, or land use. An SEA can be summarized as follows: an environmental report is prepared in which the likely significant effects on the environment and the reasonable alternatives of the proposed plan or programme are identified.

⁶ A sustainability appraisal is a systematic process that must be carried out during the preparation of local plans and spatial development strategies. Its role is to promote sustainable development by assessing the extent to which the emerging plan, when judged against reasonable alternatives, will help to achieve relevant environmental, economic, and social objectives.

version of the Local Plan 2040 and invite representations in accordance with Regulation 19. These representations will be based on whether the draft plan is legally compliant and/or sound when assessed against the requirements contained in the National Planning Policy Framework (NPPF). This is scheduled for October/November 2023.

Submission and Examination of the Local Plan (Regulation 22)

Following Regulation 19 stage, the next stage is for the Council to formally submit the draft Local Plan 2040 and evidence base to the Planning Inspectorate for examination on behalf of the Secretary of State. An Independent Planning Inspector will assess the Plan against the tests of soundness contained in the NPPF, taking account of any representations (comments) received. This is scheduled for December 2023.

Adoption

If the Plan is found to be 'sound', the Council may adopt the Plan as soon as practicable following receipt of the Inspector's report unless the Secretary of State intervenes. Once adopted, the Local Plan 2040 will form the main part of the statutory development plan for the borough. This is expected by the end of 2024.

4.3 The complete timetable and 'key milestones' to produce the Local Plan 2040 are set out in Appendix 1.

Neighbourhood Plans

4.4 There is currently one Neighbourhood Plan Area Designation in the borough. Once adopted, Neighbourhood Plans form part of the Development Plan. These are not programmed by the local authority and therefore are not included within this LDS project timetable. More information can be found here.

5.0 Delivery and Implementation

Joint working - The Duty to Co-operate

The Council has a proven track record of working with neighbouring authorities (including those outside the County) and with Surrey County Council. Where appropriate, inter-authority working groups will be established during the preparation of the Local Plan 2040. The Council will work in partnership with neighbouring authorities where necessary to prepare various evidence base documents.

Resources available for the production of the Local Plan

5.2 In preparing the Local Plan, the Council's Planning Policy Team will utilise 'specialist officers', and other strands of expertise from within the Council, other organisations and bodies as appropriate. 5.3 The Council also makes provision for the need to use certain expert consultants to assist in producing various elements of the technical background work. This may occur where either the necessary expertise is not available within the Council or insufficient resources exist to be able to bring forward the necessary work within the required timescale. Consultant facilitators may also be used to assist with focus group work and community participation exercises.

Council Procedures

- For matters relating to the new Local Plan, the following reporting protocols will apply:
 - Licensing and Planning Policy Committee will be responsible for the preparation, production, and completion of the draft local plan (Regulation 18);
 and
 - Full Council will be responsible for the Proposed Submission Local Plan (Regulation 19) and the formal adoption of the local plan following consultation and examination.

Risk Assessment

- 5.4 The production of a local plan requires consideration of the potential risk involved in its preparation. These vary from local matters, such as changes in staffing levels or political/administrative changes, to those of national significance including revised government guidance.
- 5.5 In preparing this LDS, it was found that the main areas of risk relate to:

Problems with joint working or compliance with the duty to co-operate: Close working with other authorities and organisations will continue to detect issues early in the process.

Capacity of the Planning Inspectorate (PINS) and other agencies to cope with demand nationwide: Advance notification of our programme will be given to assist in the development of PINS/other agencies work programmes' to address the requirements of the LDS.

Revisions to national planning policy and guidance: Revisions are anticipated to the NPPF. Changes to national planning policy and guidance at a more advanced stage in local plan preparation can cause delay. Close monitoring of national changes will be required alongside liaison with the Department for Levelling Up, Housing and Communities (DLUHC) where required.

Full Council fails to agree Local Plan: Officers will work closely with all Councillors to raise awareness of the Local Plan and seek to achieve 'buy-in' of its proposals at an early stage.

Programme Slippage: An exceptionally high level of response during public consultation on a Development Plan Document could lead to programme slippage.

Legal Challenge: The Council will aim to minimise this by ensuring that Development Plan Documents are 'sound' and founded on a robust evidence base and well-audited stakeholder and community engagement processes.

Monitoring and Review

- 5.6 The Council's Monitoring Report will monitor the progress of the LDS on an annual basis.
- 5.7 The Monitoring Report will monitor the delivery of policies when they have been adopted.

\genda Item 5 \ppendix 1

6.0 Appendix 1 - LDS timetable

6.1 Local Plan 2040-timetable to 2025

DPD	2022/23		2023/24			2024/25						
	Ap Ma Ju	Ju Au Se	Oc No De	Ja Fe Ma	Ap Ma Ju	Ju Au Se	Oc No De	Ja Fe Ma	Ap Ma Ju	Ju Au Se	Oc No De	Ja Fe Ma
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Local Plan 2040			Reg 18 - Public consultation			Red 19 - Public consultation	Reg 22 - Suk				A A	
Key												
	Regulation 18 - evidence base gathering, early egagement and initial consultations											
	Regulation 19 - Publication of draft Local Plan											
	Regulation 22 - Submision of document; EIP - Examination hearings; R - Inspector's final report											
Α	Adoption											

Agenda Item 5 Appendix 1

7.0 Appendix 2 – Statement of Community Involvement timetable

	2022/23 Ap Ma Ju Ju Au Se Oo No De Ja Fe Ma							
	Ap Ma Ju	Ju Au Se	Oo No De	Ja Fe Ma				
	Q1	Q2	Q3	Q4				
Statement of Community Involvement	Public consultation on draft SCI	Adopt SCI						